THE GENERAL ASSEMBLY OF PENNSYLVANIA

SENATE BILL No. 750 Session of 2015

INTRODUCED BY GREENLEAF, SCHWANK, RAFFERTY, VULAKOVICH, TEPLITZ, KITCHEN, BROWNE AND MENSCH, JUNE 18, 2015

REFERRED TO PUBLIC HEALTH AND WELFARE, JUNE 18, 2015

AN ACT

1 2 3 4 5 6	Amending Title 50 (Mental Health) of the Pennsylvania Consolidated Statutes, adding provisions relating to mental health procedures and the treatment of individuals with mental illness in the criminal justice system; making conforming amendments to Titles 18, 20, 23, 42 and 61; and repealing the Mental Health Procedures Act.
7	The General Assembly of the Commonwealth of Pennsylvania
8	hereby enacts as follows:
9	Section 1. Title 50 of the Pennsylvania Consolidated
10	Statutes is amended by adding a part to read:
11	PART III
12	MENTAL HEALTH PROCEDURES
13	<u>Chapter</u>
14	31. Preliminary Provisions
15	32. Voluntary Inpatient Examination and Treatment
16	33. Involuntary Examination and Treatment
17	34. Determinations Affecting Those Charged With Crime or
18	<u>Under Sentence</u>
19	<u>CHAPTER 31</u>
20	PRELIMINARY PROVISIONS

1	Subchapter

2	A. General Provisions
3	B. Administrative Matters
4	C. General Treatment Provisions
5	D. Rights and Immunities
6	SUBCHAPTER A
7	GENERAL PROVISIONS
8	<u>Sec.</u>
9	3101. Short title of part.
10	3102. Definitions.
11	3103. Statement of policy.
12	<u>§ 3101. Short title of part.</u>
13	This part shall be known and may be cited as the Mental
14	Health Procedures Code.
15	<u>§ 3102. Definitions.</u>
16	Subject to additional definitions contained in subsequent
17	provisions of this part which are applicable to specific
18	provisions of this part, the following words and phrases when
19	used in this part shall have the meanings given to them in this
20	section unless the context clearly indicates otherwise:
21	"Adequate treatment." A course of treatment designed and
22	administered to maximize the probability of the person's
23	recovery from mental illness.
24	"Authorized person." A person authorized by the county
25	administrator to perform a specific duty set forth in this part.
26	"Client." A person receiving behavioral or mental health
27	treatment from a mental health professional.
28	"County administrator." The administrator of a county
29	program or the designee of the administrator.
30	"County program." A mental health and intellectual

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1	<u>disability program established under Article III of the act of</u>
2	October 20, 1966 (3rd Sp.Sess., P.L.96, No.6), known as the
3	Mental Health and Intellectual Disability Act of 1966.
4	"Department." The Department of Human Services of the
5	Commonwealth.
6	"Facility." A mental health establishment, hospital, clinic,
7	institution, center, day-care center, base-service unit,
8	community mental health center or a part of such facility that
9	provides for the diagnosis, treatment, care or rehabilitation of
10	persons with mental illness.
11	"Incompetent to proceed on criminal charges." A person who
12	has been charged with a crime who is found to be substantially
13	unable to understand the nature or object of the proceedings
14	against the person or to participate and assist in the person's
15	<u>own defense.</u>
16	"Individualized treatment plan" or "treatment plan." A plan
17	<u>of treatment formulated for a particular person in a program</u>
18	appropriate to the person's specific needs.
19	"Inpatient treatment." Includes all treatment that requires
20	full-time or part-time residence in a facility.
21	"Licensed clinical psychologist." A psychologist licensed
22	under the act of March 23, 1972 (P.L.136, No.52), known as the
23	Professional Psychologists Practice Act, who holds a doctoral
24	degree from an accredited university and is duly trained and
25	experienced in the delivery of direct preventive assessment and
26	therapeutic intervention services to individuals whose growth,
27	adjustment or functioning is actually impaired or demonstrably
28	<u>at risk of impairment.</u>
29	"Licensed psychologist." An individual licensed under the
30	Professional Psychologists Practice Act.

1	"Mental health professional." A person licensed or certified
2	in this Commonwealth in any mental health-related field to whom
3	the confidentiality provisions of this part apply.
4	"Mental health review officer." A person authorized by a
5	court of common pleas to conduct proceedings under this part.
6	"Review officer." A mental health review officer.
7	"Serious mental illness." As defined by the department in
8	its regulations.
9	"Severely mentally disabled." A condition in which, as a
10	result of mental illness, a person's capacity to exercise self-
11	control, judgment and discretion in the conduct of the person's
12	affairs and social relations or to care for the person's own
13	personal needs is so lessened that the person poses a clear and
14	present danger of harm to self or others, as determined in
15	section 3301 (relating to persons who may be subject to
16	involuntary emergency examination and treatment).
17	"Treatment." Includes the following:
18	(1) Diagnosis, evaluation, therapy or rehabilitation
19	needed to alleviate pain or distress and to facilitate the
20	recovery of a person from mental illness.
21	(2) Care and other services that supplement treatment
22	described in paragraph (1) and aid or promote the recovery of
23	<u>a person from mental illness.</u>
24	§ 3103. Statement of policy.
25	The purpose of this part is to establish procedures whereby
26	the Commonwealth can seek to assure the availability of adequate
27	treatment to persons with mental illness. The provisions of this
28	part shall be interpreted in conformity with the principles of
29	due process to make voluntary and involuntary treatment
30	available where the need is great and its absence could result
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1	<u>in serious harm to the person with mental illness or to others.</u>
2	SUBCHAPTER B
3	ADMINISTRATIVE MATTERS
4	Sec.
5	3111. Rules and regulations.
6	<u>3112.</u> Forms.
7	3113. Confidentiality of records.
8	3114. Jurisdiction and venue.
9	3115. Conduct of proceedings.
10	3116. Reporting requirements for firearms background checks.
11	<u>§ 3111. Rules and regulations.</u>
12	The department shall adopt any rules and regulations
13	necessary to effectuate the provisions of this part. Rules and
14	regulations adopted under the provisions of this part shall be
15	adopted according to the provisions of section 201 of the act of
16	October 20, 1966 (3rd Sp.Sess., P.L.96, No.6), known as the
17	Mental Health and Intellectual Disability Act of 1966, and the
18	act of July 31, 1968 (P.L.769, No.240), referred to as the
19	Commonwealth Documents Law.
20	<u>§ 3112. Forms.</u>
21	(a) DevelopmentThe department shall establish and adopt
22	forms necessary to effectuate the provisions of this part.
23	(b) VerificationA warrant or application under section
24	3302(a)(2) (relating to involuntary emergency examination and
25	treatment) and each application, petition and certification
26	required under this part shall be made subject to the penalties
27	provided under 18 Pa.C.S. § 4904 (relating to unsworn_
28	falsification to authorities) and must contain a notice to that
29	<u>effect.</u>
30	(c) SubmissionEach warrant, application, petition or
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1	certification under subsection (b) must be submitted to the
2	county administrator in the following counties:
3	(1) Where the person was made subject to examination and
4	treatment.
5	(2) Any other county in this Commonwealth in which the
6	person is domiciled.
7	(d) Applicability to voluntary treatmentSubsections (a)
8	and (b) shall not apply to a person admitted to a treatment
9	facility pursuant to Chapter 32 (relating to voluntary inpatient
10	examination and treatment) when no part of the person's care is
11	provided for with public funds. The department may require
12	facilities to report clinical and statistical information, but
13	the information must not directly or indirectly identify any
14	person who is the subject of the information reported.
15	<u>§ 3113. Confidentiality of records.</u>
16	(a) Documents in generalAll documents concerning persons
17	in treatment shall be kept confidential and, without the written
18	consent of the person, may not be released or their contents
19	disclosed to anyone except:
20	(1) Those engaged in providing treatment for the person.
21	(2) The county administrator, pursuant to section
22	<u>3112(c) (relating to forms).</u>
23	(3) A court in the course of legal proceedings
24	authorized by this part.
25	(4) Pursuant to Federal rules, statutes or regulations
26	governing disclosure of patient information where treatment
27	<u>is undertaken by a Federal agency.</u>
28	(b) Privileged communicationsPrivileged communications,
29	whether written or oral, may not be disclosed to anyone without
30	written consent of the person who made the communication.

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1	(c) Statistical analysisNothing in this section prohibits
2	the collection or analysis of clinical or statistical data by
3	the department, the county administrator or the facility if the
4	use or dissemination of the data does not directly or indirectly
5	identify any person who is the subject of the information
6	reported.
7	(d) Other lawNothing in this section shall be construed
8	to conflict with section 8 of the act of April 14, 1972
9	(P.L.221, No.63), known as the Pennsylvania Drug and Alcohol
10	Abuse Control Act.
11	<u>§ 3114. Jurisdiction and venue.</u>
12	(a) Initial jurisdictionThe jurisdiction of a court of
13	common pleas or juvenile court conferred by Chapters 32
14	(relating to voluntary inpatient examination and treatment) and
15	33 (relating to involuntary examination and treatment) shall be
16	exercised initially by the court for the county in which the
17	subject of the proceedings is located or resides.
18	(b) Subsequent proceedingsIf involuntary treatment is
19	ordered, jurisdiction over any subsequent proceeding shall be
20	retained by the court in which the initial proceeding occurred,
21	but jurisdiction may be transferred to the county where the
22	person is domiciled.
23	(c) Proceedings at treatment facilityThe court or a
24	mental health review officer of the county having jurisdiction
25	over the proceedings may conduct legal proceedings at a facility
26	where the person is in treatment, whether or not the facility is
27	located within the county where the court or mental health
28	review officer normally conducts business.
29	(d) Venue for actions involving statutory rightsVenue for
30	actions instituted to effectuate rights under this part shall be
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1	as	now	or	hereafter	provided	by	law.

2 <u>§ 3115. Conduct of proceedings.</u>

2	<u>j jiio. oonaace of proceedings.</u>
3	<u>A proceeding under sections 3303(c) (relating to extended</u>
4	involuntary emergency treatment), 3304 (relating to court-
5	ordered involuntary treatment), 3305 (relating to additional
6	periods of court-ordered involuntary treatment) and 3306
7	(relating to transfer of persons in involuntary treatment) may
8	be conducted by the court or a mental health review officer.
9	§ 3116. Reporting requirements for firearms background checks.
10	(a) Disclosure for firearms background check purposes
11	Notwithstanding any other law to the contrary, the court, a
12	mental health review officer and a county administrator shall
13	notify the Pennsylvania State Police on a form developed by the
14	Pennsylvania State Police of the identity of any of the
15	following persons:
16	(1) A person who has been adjudicated incompetent to
17	proceed on criminal charges under Chapter 34 (relating to
18	determinations affecting those charged with crime or under
19	<u>sentence).</u>
20	(2) A person who has been involuntarily committed to a
21	mental institution for inpatient care and treatment under
22	<u>this part.</u>
23	(3) A person who has been involuntarily treated as
24	<u>described under 18 Pa.C.S. § 6105(c)(4) (relating to persons</u>
25	not to possess, use, manufacture, control, sell or transfer
26	<u>firearms).</u>
27	(b) Timing of notificationThe notification under
28	subsection (a) shall be transmitted within seven days of the
29	adjudication, commitment or treatment.
30	(c) Confidentiality provisions waivedSection 3113

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1	(relating to confidentiality of records) shall not restrict the
2	disclosure of information:
3	(1) To the Pennsylvania State Police under this section.
4	(2) By the Pennsylvania State Police to a person in
5	accordance with 18 Pa.C.S. § 6105(c)(4).
6	SUBCHAPTER C
7	GENERAL TREATMENT PROVISIONS
8	<u>Sec.</u>
9	3121. Applicability.
10	3122. Referral of persons discharged from treatment.
11	3123. Basic treatment requirements.
12	3124. Treatment facilities.
13	3125. Treatment team.
14	<u>3126. Individualized treatment plan.</u>
15	3127. Periodic reexamination, review and redisposition.
16	3128. Duty to protect.
17	<u>§ 3121. Applicability.</u>
18	(a) Treatment coveredThis part establishes rights and
19	procedures for:
20	(1) All involuntary inpatient treatment of persons with
21	mental illness.
22	(2) All involuntary outpatient treatment of persons with
23	mental illness.
24	(3) All voluntary inpatient treatment of persons with
25	mental illness.
26	(b) Limitations on treatmentTreatment shall be delivered
27	subject to the following:
28	(1) Treatment on a voluntary basis shall be preferred to
29	involuntary treatment.
30	(2) In every case, the least restrictions consistent

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1	with adequate treatment standards shall be employed.
2	(c) Treatment of individuals with multiple diagnoses
3	Individuals who are intellectually disabled, senile or alcohol
4	or drug dependent shall receive mental health treatment only if
5	they are also diagnosed as mentally ill, but each of these
6	conditions alone may not be deemed to constitute mental illness.
7	(d) Treatment of alcohol abuse or drug addictionNothing
8	in this part shall prohibit underutilized State facilities for
9	the mentally ill to be made available for the treatment of
10	alcohol abuse or drug addiction pursuant to the act of April 14,
11	1972 (P.L.221, No.63), known as the Pennsylvania Drug and
12	Alcohol Abuse Control Act.
13	(e) Treatment of chronically disabled elderly personsA
14	chronically disabled person who is 70 years of age or older and
15	who has been continuously hospitalized in a State-operated
16	facility for at least 10 years is not subject to the procedures
17	of this part. The person's inability to give a rational and
18	informed consent does not prohibit the department from
19	continuing to provide all necessary treatment to the person. If
20	the individual protests treatment or residence at a State-
21	operated facility, that individual shall be subject to the
22	provisions of Chapter 33 (relating to involuntary examination
23	and treatment).
24	§ 3122. Referral of persons discharged from treatment.
25	(a) Discharge from State institutionsThe facility
26	administration shall refer those voluntary and involuntary
27	patients discharged from State institutional programs to the
28	appropriate county program.
29	(b) County program responsibilitiesPursuant to Article
30	III of the act of October 20, 1966 (3rd Sp.Sess., P.L.96, No.6),

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1	known as the Mental Health and Intellectual Disability Act of
2	1966, county programs shall receive referrals from State-
3	operated facilities and shall be responsible for the treatment
4	needs of county residents discharged from institutions pursuant
5	to Chapters 32 (relating to voluntary inpatient examination and
6	treatment) and 33 (relating to involuntary examination and
7	treatment).
8	<u>§ 3123. Basic treatment requirements.</u>
9	(a) AdequacyAdequate treatment shall be provided to all
10	persons in treatment who are subject to this part.
11	(b) Forms of treatmentAdequate treatment may include
12	inpatient treatment, partial hospitalization or outpatient
13	treatment.
14	(c) Adequacy of inpatient treatmentAdequate inpatient
15	treatment shall include those accommodations, diet, heat, light,
16	sanitary facilities, clothing, recreation, education and medical
17	care as necessary to maintain decent, safe and healthy living
18	conditions.
19	<u>§ 3124. Treatment facilities.</u>
20	(a) Approved facilitiesAll involuntary and voluntary
21	treatment funded in whole or in part by public money shall be
22	available at a facility approved for such purposes by the county
23	administrator or the department. Approval of facilities shall be
24	made by the appropriate authority which can be the department
25	pursuant to regulations adopted by the department.
26	(b) Veterans facilitiesTreatment may be ordered at the
27	United States Department of Veterans Affairs or other Federal
28	agency upon receipt of a certificate that the person is eligible
29	for such hospitalization or treatment and that there is
30	available space for the person's care. Mental health facilities
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1	operated under the direct control of the United States
2	Department of Veterans Affairs or other Federal agency are
3	exempt from obtaining State approval.
4	(c) Standards for approvalThe department standards for
5	approval shall be at least as stringent as those of the
6	following, to the extent that the type of facility is one in
7	which those standards are intended to apply:
8	(1) The joint commission for accreditation of hospitals.
9	(2) Titles XVIII and XIX of the Social Security Act (49
10	<u>Stat. 620, 42 U.S.C. § 301 et seq.).</u>
11	(d) ExemptionAn exemption from the standards may be
12	granted by the department under the following conditions:
13	(1) The exemption may be for a period not in excess of
14	one year, which may be renewed.
15	(2) Notice of each exemption and the rationale for
16	allowing the exemption must be published pursuant to the act
17	of July 31, 1968 (P.L.769, No.240), referred to as the
18	Commonwealth Documents Law.
19	(3) Notice of each exemption shall be prominently posted
20	at the entrance to the main office and in the reception areas
21	of the facility.
22	<u>§ 3125. Treatment team.</u>
23	(a) LeadershipA treatment team must be under the
24	direction of either of the following:
25	(1) A licensed clinical psychologist.
26	(2) A physician if:
27	(i) failure to do so would jeopardize Federal
28	payments made on behalf of a patient; or
29	(ii) the director of a facility requires the
30	treatment to be under the direction of a physician.

1	(b) CompositionA treatment team must include a physician
2	and may include other mental health professionals.
3	(c) Independence of professional judgmentNotwithstanding
4	any other provision of this part, the court or mental health
5	review officer may not specify to the treatment team the
6	adoption of any treatment techniques, modality or drug therapy.
7	<u>§ 3126. Individualized treatment plan.</u>
8	(a) FormulationA treatment team shall formulate and
9	review an individualized treatment plan for each person who is
10	in treatment under this part.
11	(b) Basic criteriaTo the extent possible, an
12	individualized treatment plan shall be made with the
13	cooperation, understanding and consent of the person in
14	treatment, and the least restrictions consistent with adequate
15	treatment standards shall be employed.
16	(c) Administration of drugsThe administration of drugs
17	shall be controlled by the act of April 14, 1972 (P.L.233,
18	No.64), known as The Controlled Substance, Drug, Device and
19	<u>Cosmetic Act.</u>
20	§ 3127. Periodic reexamination, review and redisposition.
21	(a) Reexamination and review
22	(1) Each person who is in treatment under this part
23	shall be examined by a treatment team.
24	(2) The person's individualized treatment plan shall be
25	reviewed at least every 30 days.
26	(b) RedispositionOn the basis of reexamination and
27	review, the treatment team may:
28	(1) authorize continuation of the existing treatment
29	<u>plan if appropriate;</u>
30	(2) formulate a new individualized treatment plan; or

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1	(3) recommend to the director of the facility the
2	discharge of the person.
3	(c) Duration or modality of treatmentA person shall not
4	remain in treatment or under any particular mode of treatment
5	for longer than the treatment is necessary and appropriate to
6	the person's needs.
7	(d) RecordThe treatment team responsible for the
8	treatment plan shall maintain a record of each reexamination and
9	review under this section for each person in treatment, which
10	shall include all of the following:
11	(1) A report of the reexamination, including a diagnosis
12	and prognosis.
13	(2) A brief description of the treatment provided to the
14	person during the period preceding the reexamination and the
15	results of that treatment.
16	(3) A statement of the reason for discharge or for
17	continued treatment.
18	(4) An individualized treatment plan for the next
19	period, if any.
20	(5) A statement of the reasons that the treatment plan
21	imposes the least restrictions consistent with adequate
22	treatment standards.
23	(6) A certification that the adequate treatment
24	recommended is available and will be afforded in the
25	treatment program.
26	<u>§ 3128. Duty to protect.</u>
27	(a) Criteria for duty to applyIn accordance with the
28	procedures under subsection (b), a mental health professional
29	shall attempt to protect each potential victim from a threat of
30	danger from a client of the mental health professional if all of
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1 the following apply:

2	(1) The client has communicated to the mental health
3	professional an explicit threat of imminent serious physical_
4	harm or death to a clearly identified or identifiable victim
5	or the general public or a mental health professional
6	reasonably believes after considering the totality of the
7	circumstances that a client of the mental health professional
8	presents an imminent threat of serious physical harm or death
9	to a clearly identified or identifiable victim or the general
10	public.
11	(2) The mental health professional reasonably believes,
12	or by the standards of the professional's profession should
13	believe, that the client has the intent and ability to carry
14	out the threat.
15	(3) The threat has been communicated to the professional
16	by the threatening client while the professional is engaged
17	<u>in his professional duties.</u>
18	(b) Actions necessary to discharge dutyA mental health
19	professional may:
20	(1) use therapeutic interventions or take therapeutic
21	precautions that a reasonable prudent mental health
22	professional would take under the circumstances to diffuse
23	<u>the danger;</u>
24	(2) communicate the threat to all identified or
25	identifiable victims;
26	(3) communicate the threat to any individual whose
27	knowledge is likely to protect the health and life of a third
28	party or the public;
29	(4) notify a law enforcement agency in the vicinity
30	where the client or any potential victim resides; or

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1	(5) take reasonable steps to initiate proceedings for
2	voluntary or involuntary commitment if appropriate.
3	(c) Immunity from civil liabilityNo cause of action shall
4	exist against a mental health professional, and no legal
5	liability may be imposed for breaching a duty to warn of a
6	threat of danger by a client, unless the mental health
7	professional:
8	(1) fails to comply with this section; and
9	(2) the failure to comply is the result of an
10	intentional or grossly negligent act or omission that results
11	in harm to a potential victim of the client's threats.
12	(d) Confidentiality
13	(1) A disclosure made in good faith under this section
14	may not be considered a breach of confidentiality between the
15	mental health professional and the client.
16	(2) For a mental health professional who is a "covered
17	entity" under the Health Insurance Portability and
18	Accountability Act of 1996 (Public Law 104-191, 110 Stat.
19	1936), disclosures authorized under this section are declared
20	to be disclosures authorized without the consent of the
21	client under 45 CFR 164.512(j)(1) (relating to uses and
22	disclosures for which an authorization or opportunity to
23	agree or object is not required).
24	SUBCHAPTER D
25	RIGHTS AND IMMUNITIES
26	<u>Sec.</u>
27	3131. Rights and remedies of persons in treatment.
28	3132. Immunity from civil and criminal liability.
29	<u>§ 3131. Rights and remedies of persons in treatment.</u>
30	Each person who is in treatment shall be entitled to all
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1	other rights now or hereafter provided under the laws of this
2	Commonwealth, in addition to any rights provided for in this
3	part. Actions requesting damages, declaratory judgment,
4	injunction, mandamus, writs of prohibition, habeas corpus,
5	including challenges to the legality of detention or degree of
6	restraint, and any other remedies or relief granted by law, may
7	be maintained in order to protect and effectuate the rights
8	granted under this part.
9	§ 3132. Immunity from civil and criminal liability.
10	(a) Treatment decisions in generalIn the absence of
11	willful misconduct or gross negligence, a county administrator,
12	director of a facility, physician, peace officer or any other
13	authorized person may not be held civilly or criminally liable
14	for any of the following decisions or the consequences of the
15	decision:
16	(1) To examine or treat a person under this part.
17	(2) To discharge a person under this part.
18	(3) To place a person subject to this part under partial
19	hospitalization, outpatient care or leave of absence.
20	(4) To reduce a restraint upon a person subject to this
21	part.
22	(b) Denial of treatmentA county administrator or other
23	authorized person who denies an application for voluntary
24	treatment or involuntary emergency examination and treatment may
25	not be civilly or criminally liable for the decision or any of
26	<u>its consequences.</u>
27	(c) Judicial immunityA court officer or a mental health
28	review officer shall not be civilly or criminally liable for an
29	action taken or decision made pursuant to the authority
30	conferred by this part.

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1	CHAPTER 32
2	VOLUNTARY INPATIENT EXAMINATION
3	AND TREATMENT
4	<u>Sec.</u>
5	3201. Authorization for voluntary treatment.
6	3202. Application for voluntary treatment.
7	3203. Explanation and consent.
8	3204. Notice to parents.
9	3205. Physical examination and individualized treatment plan.
10	3206. Withdrawal from voluntary treatment.
11	3207. Transfer of person in voluntary treatment.
12	§ 3201. Authorization for voluntary treatment.
13	(a) Self-admissionA person may submit to examination and
14	treatment under this part if:
15	(1) The person is 14 years of age or older.
16	(2) The person believes that treatment is needed.
17	(3) The person substantially understands the nature of
18	voluntary treatment.
19	(4) The decision is voluntary.
20	(b) Parental authorizationA parent, guardian or person_
21	standing in loco parentis to a child who is younger than 14
22	years of age may subject the child to examination and treatment
23	under this part and in so doing shall be deemed to be acting for
24	the child.
25	(c) ApplicabilityExcept as otherwise authorized in this
26	part, all of the provisions of this part governing examination
27	and treatment shall apply.
28	<u>§ 3202. Application for voluntary treatment.</u>
29	(a) To whom application may be madeAn application for
30	voluntary examination and treatment may be made to any of the

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1	following entities:
2	(1) An approved facility.
3	(2) A county administrator.
4	(3) The United States Department of Veterans Affairs.
5	(4) Any other Federal agency operating a facility for
6	the care and treatment of mental illness.
7	(b) Designation of treatment facilityWhen application is
8	made to the county administrator, the county administrator shall
9	designate the approved facility for examination and treatment as
10	<u>may be appropriate.</u>
11	§ 3203. Explanation and consent.
12	(a) Explanation to be givenBefore a person is accepted
13	for voluntary inpatient treatment, an explanation shall be given
14	to the person that includes the following information:
15	(1) The nature of the treatment, including the types of
16	treatment in which the person may be involved.
17	(2) Any restraints or restrictions to which the person
18	may be subject.
19	(3) A statement of the person's rights under this part.
20	(b) Form of consentConsent shall be given in writing upon
21	a form adopted by the department.
22	(c) Contents of consentThe consent shall include the
23	following representations:
24	(1) That the person understands treatment will involve
25	<u>inpatient status.</u>
26	(2) That the person is willing to be admitted to a
27	designated facility for the purpose of examination and
28	treatment.
29	(3) That the person consents to the admission
30	voluntarily, without coercion or duress.

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1	(4) If applicable, that the person has voluntarily
2	agreed to remain in treatment for a specified period of no
3	longer than 72 hours after having given written notice of the
4	<u>intent to withdraw from treatment.</u>
5	(d) Record of consentThe consent shall be part of the
6	person's record.
7	<u>§ 3204. Notice to parents.</u>
8	(a) NoticeUpon the acceptance of an application for
9	examination and treatment by a child who is 14 years of age or
10	older and younger than 18 years of age, the director of the
11	facility shall promptly notify the child's parents, guardian or
12	person standing in loco parentis to the child to inform them of
13	the right to be heard upon the filing of an objection to the
14	examination and treatment.
15	(b) Objection to treatment by parentWhenever an objection
16	is filed by the parents, guardian or person standing in loco
17	parentis of a child, a hearing shall be held within 72 hours by
18	the court or mental health review officer, to determine whether
19	or not the voluntary treatment is in the best interest of the
20	<u>child.</u>
21	<u>§ 3205. Physical examination and individualized treatment plan.</u>
22	(a) Physical examinationUpon acceptance of a person for
23	voluntary examination and treatment, the person shall be given a
24	physical examination.
25	(b) Individualized treatment planWithin 72 hours after
26	acceptance of a person, a treatment team shall formulate an
27	individualized treatment plan, subject to the following
28	<u>requirements:</u>
29	(1) The person shall be advised of the treatment plan,
30	which shall become a part of the person's record.

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1	(2) The treatment plan shall state the following:
2	(i) Whether inpatient treatment is considered
3	necessary.
4	(ii) What restraints or restrictions, if any, will
5	be administered.
6	(iii) The bases for the conclusions under
7	<u>subparagraphs (i) and (ii).</u>
8	<u>§ 3206. Withdrawal from voluntary treatment.</u>
9	(a) Written noticeExcept as provided in subsections (b)
10	and (c), a person in voluntary inpatient treatment may withdraw
11	at any time by giving written notice of the intent to withdraw
12	from treatment.
13	(b) Waiting period
14	(1) A person in voluntary inpatient treatment who,
15	pursuant to section 3203(c)(4) (relating to explanation and
16	consent), agreed in writing at the time of admission that
17	release could be delayed for a period specified in the
18	agreement, not to exceed 72 hours, may have that release so
19	delayed.
20	(2) A person converted from involuntary treatment
21	ordered pursuant to section 3304 (relating to court-ordered
22	involuntary treatment) or 3305 (relating to additional
23	periods of court-ordered involuntary treatment) to voluntary
24	treatment status shall agree to remain in treatment for 72
25	hours after giving notice.
26	(c) Release of children younger than 14 years of age; who
27	may initiateIf the child is younger than 14 years of age, the
28	parent, legal guardian, or person standing in loco parentis to
29	the child may affect the child's release. If any responsible
30	party believes that it would be in the best interest of a child
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1	<u>younger than 14 years of age in voluntary treatment to be</u>
2	withdrawn therefrom or afforded treatment constituting the least
3	restrictions consistent with adequate treatment standards, that
4	party may file a petition in the juvenile division of the court
5	of common pleas for the county in which the child younger than
6	14 years of age resides, requesting a withdrawal from or
7	modification of treatment.
8	(d) Appointment of counsel; hearing for child younger than
9	14 years of ageThe court shall promptly appoint an attorney
10	for a child for whom a petition was filed under subsection (b)
11	and schedule a hearing to determine what inpatient treatment, if
12	any, is in the best interest of the child. The hearing shall be
13	held within 10 days of receipt of the petition, unless continued
14	upon the request of the attorney for the child. The hearing
15	shall be conducted in accordance with the rules governing other
16	juvenile court proceedings.
17	(e) Lack of medical necessityNothing in this part shall
18	be construed to require a facility to continue inpatient
19	treatment where the director of the facility determines such
20	treatment is not medically indicated. Any dispute between a
21	facility and a county administrator as to the medical necessity
22	for voluntary inpatient treatment of a person shall be decided
23	by the Commissioner of Mental Health or the designee of the
24	<u>commissioner.</u>
25	<u>§ 3207. Transfer of person in voluntary treatment.</u>
26	<u>A person who is in voluntary treatment may not be transferred</u>
27	from one facility to another without the written consent of the
28	person.
29	<u>CHAPTER 33</u>
30	INVOLUNTARY EXAMINATION AND TREATMENT
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1	<u>Subchapter</u>
2	A. Inpatient or Outpatient Involuntary Examination and
3	Treatment
4	B. Assisted Outpatient Treatment
5	SUBCHAPTER A
6	INPATIENT OR OUTPATIENT INVOLUNTARY
7	EXAMINATION AND TREATMENT
8	<u>Sec.</u>
9	3301. Persons who may be subject to involuntary emergency
10	examination and treatment.
11	3302. Involuntary emergency examination and treatment.
12	3303. Extended involuntary emergency treatment.
13	3304. Court-ordered involuntary treatment.
14	3305. Additional periods of court-ordered involuntary
15	treatment.
16	3306. Transfer of persons in involuntary treatment.
17	3307. Appeal of mental health review officer findings.
18	<u>§ 3301. Persons who may be subject to involuntary emergency</u>
19	examination and treatment.
20	(a) ApplicabilityA person who is severely mentally
21	disabled and in need of immediate treatment may be subject to
22	involuntary emergency examination and treatment. Severely
23	mentally disabled shall include a determination of clear and
24	present danger, as set forth in subsections (b), (c), (d), (e)
25	and (f).
26	(b) Determination of clear and present danger of harm to
27	othersClear and present danger to others shall be shown by
28	establishing that within the past 30 days the person has
29	inflicted or attempted to inflict serious bodily harm on another
30	or caused substantial property damage and that there is a

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1	reasonable probability that such conduct will be repeated.
2	(c) Determination of clear and present danger of harm to
3	self by neglectClear and present danger of harm to self by
4	neglect shall be shown by both of the following criteria:
5	(1) Within the past 30 days the person has acted in such
6	manner as to evidence that the person would be unable,
7	without care, supervision and the continued assistance of
8	others, to satisfy the person's need for nourishment,
9	personal or medical care, shelter or self-protection and
10	<u>safety.</u>
11	(2) There is a reasonable probability that death,
12	serious bodily injury or serious physical or mental
13	debilitation would ensue within 30 days unless adequate
14	treatment were afforded under this part.
15	(d) Determination of clear and present danger to self by
16	reoccurrence and relapseClear and present danger of harm to
17	self by reoccurrence and relapse shall be shown by establishing
18	all of the following criteria:
19	(1) The person has a serious mental illness that has
20	been diagnosed and documented by a licensed psychiatrist.
21	(2) Within the past 24 months the person has twice been
22	involuntarily examined and treated under the provisions of
23	this chapter in an approved inpatient facility.
24	(3) The person is exhibiting symptoms or behavior_
25	substantially similar to those that preceded and led to one
26	or more of the inpatient placements referred to in paragraph
27	<u>(2)</u> .
28	(4) There is a reasonable probability that death,
29	serious bodily injury or serious physical or mental
30	debilitation would ensue within 30 days unless adequate
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1 <u>treatment were afforded under this part.</u>

2 (e) Determination of clear and present danger to self by 3 suicide. -- Clear and present danger of harm to self by suicide shall be shown by establishing that within the past 30 days the 4 person has attempted suicide and that there is the reasonable 5 6 probability of suicide unless adequate treatment is afforded 7 under this part. 8 (f) Determination of clear and present danger to self by 9 self-mutilation.--Clear and present danger to self by selfmutilation shall be shown by establishing that within the past 10 30 days the person has committed substantial self-mutilation or 11 attempted to commit substantial self-mutilation and that there 12 is the reasonable probability of self-mutilation unless adequate 13 14 treatment is afforded under this part. 15 (g) Special rule for persons involved in criminal justice 16 system.--If a person has been found incompetent to proceed on criminal charges or has been acquitted by reason of lack of 17 criminal responsibility on charges arising from conduct 18 19 involving infliction of or attempt to inflict substantial bodily harm on another, the 30-day limitation set forth in subsection 20 (b) shall not apply so long as an application for examination 21 and treatment is filed within 30 days after the date of the 22 23 incompetency determination or verdict of acquittal. In those 24 cases, a clear and present danger to others may be shown by establishing that the conduct charged in the criminal proceeding 25 26 did occur and that there is a reasonable probability that such conduct will be repeated. 27 28 (h) Threats of harm as clear and present danger.--For the 29 purpose of determining a clear and present danger under subsections (b), (e) and (f), a clear and present danger of harm 30

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1	may be demonstrated by proof that the person has made threats of
2	harm and has committed acts in furtherance of the threat to
3	<u>commit harm.</u>
4	§ 3302. Involuntary emergency examination and treatment.
5	(a) Application for examinationEmergency examination may
6	be undertaken at a treatment facility based upon any of the
7	following:
8	(1) A certification of a physician stating the need for
9	an examination.
10	(2) A warrant issued by the county administrator after
11	receipt of a written application by a physician, a licensed
12	clinical psychologist or other responsible party setting
13	forth facts constituting reasonable grounds to believe a
14	person is severely mentally disabled and in need of immediate
15	treatment. The county administrator's warrant may require an
16	authorized person or any peace officer to take the person to
17	the facility specified in the warrant.
18	(3) Without warrantUpon personal observation of
19	conduct constituting reasonable grounds to believe that a
20	person is severely mentally disabled and in need of immediate
21	treatment, a physician, licensed clinical psychologist, peace
22	officer or an authorized person may take the person to an
23	approved facility for an emergency examination. Upon arrival,
24	the person who personally observed the conduct shall make a
25	written statement setting forth the grounds for believing the
26	person to be in need of an examination.
27	(b) Examination and determination of need for treatment
28	Emergency examination and treatment shall be conducted as
29	<u>follows:</u>
30	(1) A person taken to a facility shall be examined by a

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1	physician within two hours of arrival in order to determine
2	if the person is severely mentally disabled and in need of
3	<u>immediate treatment.</u>
4	(2) If it is determined that the person is severely
5	mentally disabled and in need of emergency treatment,
6	treatment shall be initiated immediately.
7	(3) If the physician does not find the person to be
8	severely mentally disabled and in need of immediate
9	treatment, or if at any time it appears there is no longer a
10	need for immediate treatment, the person shall be discharged
11	and returned to a reasonable location that the person
12	<u>directs.</u>
13	(4) The physician shall make a record of the examination
14	and findings.
15	(5) A person may not be accepted for involuntary
16	emergency treatment if a previous application was granted for
17	involuntary emergency treatment and the new application is
18	not based on behavior occurring after the earlier
19	application.
20	(c) Enforcement of rights at emergency examinationUpon_
21	
	arrival at a facility of a person subject to this section, the
22	arrival at a facility of a person subject to this section, the following shall apply:
22	following shall apply:
22 23	following shall apply: (1) The person shall be informed of the reasons for the
22 23 24	<u>following shall apply:</u> <u>(1) The person shall be informed of the reasons for the</u> <u>emergency examination and the right to communicate</u>
22 23 24 25	<u>following shall apply:</u> <u>(1) The person shall be informed of the reasons for the</u> <u>emergency examination and the right to communicate</u> <u>immediately with others.</u>
22 23 24 25 26	following shall apply: (1) The person shall be informed of the reasons for the emergency examination and the right to communicate immediately with others. (2) The person shall be given reasonable use of the
22 23 24 25 26 27	following shall apply: (1) The person shall be informed of the reasons for the emergency examination and the right to communicate immediately with others. (2) The person shall be given reasonable use of the telephone.

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1	(4) The county administrator or the director of the
2	facility shall:
3	(i) Give notice to the parties identified in
4	paragraph (3) of the whereabouts and status of the
5	person, how and when contact and visits may be made and
6	how the parties may obtain information concerning the
7	person while in inpatient treatment.
8	(ii) Take reasonable steps to assure that while the
9	person is detained, the health and safety needs of any of
10	the person's dependents are met and that the person's
11	personal property and the premises the person occupies
12	are secure.
13	(d) Duration of emergency examination and treatmentA
14	person who is in treatment pursuant to this section shall be
15	discharged whenever it is determined that the person no longer
16	is in need of treatment, but in all cases within 120 hours of
17	the commencement of treatment, unless within this period either
18	of the following occurs:
19	(1) The person is admitted to voluntary treatment
20	pursuant to section 3202 (relating to application for
21	voluntary treatment).
22	(2) A certification for extended involuntary emergency
23	treatment is filed pursuant to section 3303 (relating to
24	extended involuntary emergency treatment).
25	<u>§ 3303. Extended involuntary emergency treatment.</u>
26	(a) ApplicationApplication for extended involuntary
27	emergency treatment may be made under the following
28	<u>circumstances:</u>
29	(1) An application may be made for any person who is
30	being treated pursuant to section 3302 (relating to

1	involuntary emergency examination and treatment) when the
2	facility determines that the need for emergency treatment is
3	likely to extend beyond 120 hours.
4	(2) The application shall be filed in the court of
5	common pleas.
6	(3) The application shall state the grounds on which
7	extended emergency treatment is believed to be necessary.
8	(4) The application shall state the name of an examining
9	physician and the substance of the physician's opinion
10	regarding the mental condition of the person.
11	(b) Appointment of counselUpon receipt of an application
12	under subsection (a), the court shall appoint counsel to
13	represent the person unless it appears that the person can
14	afford, and desires to have, private representation.
15	(c) ProceduresAn informal conference shall be conducted
16	by the court or a mental health review officer within 24 hours
17	after the application is filed, at the facility, if practicable,
18	and subject to the following requirements:
19	(1) At the commencement of the informal conference, the
20	court or a mental health review officer shall inform the
21	person of the nature of the proceedings.
22	(2) Information relevant to whether the person is
23	severely mentally disabled and in need of treatment shall be
24	reviewed, including the reasons that continued involuntary
25	treatment is considered necessary.
26	(3) The information presented in paragraph (2) shall be
27	made by a physician who examined the person and shall be in
28	terms understandable to a layperson.
29	(4) The court or mental health review officer may review
30	any relevant information even if it would be normally

1	excluded under rules of evidence if the court or mental
2	health review officer believes that the information is
3	<u>reliable.</u>
4	(5) The person subject to the proceeding or the person's
5	representative shall have the right to ask questions of the
6	physician and of any other witnesses and to present any
7	relevant information.
8	(6) A record of the proceedings, which need not be a
9	stenographic record, shall be made. The record shall be kept
10	by the court or mental health review officer for at least one
11	<u>year.</u>
12	(d) DeterminationAt the conclusion of the review set
13	forth in subsection (c), the court or mental health review
14	officer shall make a determination as to whether or not the
15	person is severely mentally disabled and in need of continued
16	involuntary treatment, subject to the following:
17	(1) If the person is not severely mentally disabled and
18	in need of continued involuntary treatment, the judge of the
19	court of common pleas or review officer shall direct the
20	director of the facility or the director's designee to
21	discharge the person.
	<u>dibenarge ene person.</u>
22	(2) If the judge of the court of common pleas or review
22 23	
	(2) If the judge of the court of common pleas or review
23	(2) If the judge of the court of common pleas or review officer finds that the person is severely mentally disabled
23 24	(2) If the judge of the court of common pleas or review officer finds that the person is severely mentally disabled and in need of continued involuntary treatment, a
23 24 25	(2) If the judge of the court of common pleas or review officer finds that the person is severely mentally disabled and in need of continued involuntary treatment, a certification for extended involuntary treatment shall be
23 24 25 26	(2) If the judge of the court of common pleas or review officer finds that the person is severely mentally disabled and in need of continued involuntary treatment, a certification for extended involuntary treatment shall be made subject to the following requirements:
23 24 25 26 27	(2) If the judge of the court of common pleas or review officer finds that the person is severely mentally disabled and in need of continued involuntary treatment, a certification for extended involuntary treatment shall be made subject to the following requirements: (i) The certification shall be filed with the

1	<u>3302(c)(3).</u>
2	(ii) Upon the filing and service of a certification
3	for extended involuntary emergency treatment, the person
4	may be given treatment in an approved facility for a
5	period of not more than 20 days.
6	(e) Form and contents of certificationThe certification
7	shall be made in writing upon a form adopted by the department
8	and shall include the following information:
9	(1) Findings by the court or mental health review
10	officer as to the reasons that extended involuntary emergency
11	<u>treatment is necessary.</u>
12	(2) A description of the treatment to be provided,
13	together with an explanation of the adequacy and
14	appropriateness of the treatment, based upon the information
15	received at the informal conference.
16	(3) Any documents required by section 3302.
17	(4) The application as filed pursuant to subsection (a).
18	(5) A statement that the person is represented by
19	<u>counsel.</u>
20	(6) An explanation of the effect of the certification,
21	the person's right to petition the court for release under
22	subsection (f) and the continuing right to be represented by
23	<u>counsel.</u>
24	(f) DurationWhenever a person is no longer severely
25	mentally disabled or in need of immediate treatment and, in any
26	event, within 20 days after the filing of the certification, the
27	person shall be discharged, unless within this period either of
28	the following occurs:
29	(1) The person is admitted to voluntary treatment
30	pursuant to section 3202 (relating to application for

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1	voluntary treatment).
2	(2) The court orders involuntary treatment pursuant to
3	section 3304 (relating to court-ordered involuntary
4	<pre>treatment).</pre>
5	§ 3304. Court-ordered involuntary treatment.
6	(a) ApplicationA petition for court-ordered involuntary
7	treatment may be made for any of the following persons:
8	(1) A person already subject to treatment under this
9	section or section 3303 (relating to extended involuntary
10	<u>emergency treatment) or 3305 (relating to additional periods</u>
11	of court-ordered involuntary treatment).
12	(2) A person who is severely mentally disabled, in need
13	of treatment and determined to be a clear and present danger
14	of harm to self or others under section 3301 (relating to
15	persons who may be subject to involuntary emergency
16	examination and treatment).
17	(b) Procedures for person already in involuntary
18	treatmentA petition for court-ordered involuntary treatment
10	
19	<u>under this section may be filed for a person described in</u>
20	under this section may be filed for a person described in subsection (a)(1), subject to the following conditions:
20	subsection (a)(1), subject to the following conditions:
20 21	subsection (a)(1), subject to the following conditions: (1) The petition may be made to the court by the county
20 21 22	<pre>subsection (a)(1), subject to the following conditions: (1) The petition may be made to the court by the county administrator or the director of the facility.</pre>
20 21 22 23	<u>subsection (a)(1), subject to the following conditions:</u> <u>(1) The petition may be made to the court by the county</u> <u>administrator or the director of the facility.</u> <u>(2) The petition shall be in writing upon a form adopted</u>
20 21 22 23 24	<pre>subsection (a)(1), subject to the following conditions: (1) The petition may be made to the court by the county administrator or the director of the facility. (2) The petition shall be in writing upon a form adopted by the department and shall include the following:</pre>
20 21 22 23 24 25	<pre>subsection (a)(1), subject to the following conditions: (1) The petition may be made to the court by the county administrator or the director of the facility. (2) The petition shall be in writing upon a form adopted by the department and shall include the following: (i) A statement of the facts constituting reasonable</pre>
20 21 22 23 24 25 26	<pre>subsection (a)(1), subject to the following conditions: (1) The petition may be made to the court by the county administrator or the director of the facility. (2) The petition shall be in writing upon a form adopted by the department and shall include the following: (i) A statement of the facts constituting reasonable grounds to believe that the person is severely mentally</pre>
20 21 22 23 24 25 26 27	<pre>subsection (a)(1), subject to the following conditions: (1) The petition may be made to the court by the county administrator or the director of the facility. (2) The petition shall be in writing upon a form adopted by the department and shall include the following: (i) A statement of the facts constituting reasonable grounds to believe that the person is severely mentally disabled and in need of treatment.</pre>

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1	(iii) A statement that the person has been given the
2	information required by paragraph (3).
3	(3) Upon the filing of the petition the county
4	administrator shall serve a copy on the person, counsel for
5	the person and those designated to be kept informed as
6	provided in section 3302(c) (relating to involuntary
7	emergency examination and treatment), including an
8	explanation of the nature of the proceedings, the person's
9	right to counsel and the services of an expert in the field
10	of mental health, as provided by subsection (d).
11	(4) A hearing on the petition shall be held in all cases
12	within five days after the filing of the petition.
13	(5) Treatment shall be permitted to be maintained
14	pending the determination of the petition.
15	(6) It shall be sufficient to represent, and upon
16	hearing to reestablish, that the conduct originally required
17	by section 3301 in fact occurred and that the person's
18	condition continues to evidence a clear and present danger of
19	harm to self or others. In such event, it shall not be
20	necessary to show the reoccurrence of dangerous conduct,
21	either harmful or debilitating, within the past 30 days.
22	(c) Procedures for persons not already in involuntary
23	<u>treatmentA petition for court-ordered involuntary treatment</u>
24	for a person not already in involuntary treatment shall be
25	subject to the following conditions:
26	(1) Any responsible party may file a petition in the
27	court of common pleas requesting court-ordered involuntary
28	treatment.
29	(2) The petition shall be in writing upon a form adopted
30	by the department and shall set forth the following:

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1	(i) The facts constituting reasonable grounds to
2	believe that the person is within the criteria for court-
3	ordered treatment set forth in subsection (a).
4	(ii) The name of an examining physician and the
5	substance of the physician's opinion regarding the mental
6	condition of the person.
7	(3) Upon a determination that the petition sets forth
8	such reasonable cause, the court shall appoint counsel to
9	represent the person and set a date for the hearing as soon
10	as practicable. The attorney shall represent the person
11	unless it appears that the person can afford, and desires to
12	have, private representation.
13	(4) The court, by summons, shall direct the person to
14	appear for a hearing. The following requirements shall apply
15	to the person's appearance for the hearing:
16	(i) The court may issue a warrant directing an
17	authorized person or a peace officer to bring the person
18	before the court at the time of the hearing if there are
19	reasonable grounds to believe that the person will not
20	appear voluntarily.
21	(ii) A copy of the petition shall be served on the
22	person at least three days before the hearing, together
23	with a notice informing the person of the following:
24	(A) That counsel has been appointed who shall
25	represent the person unless the person obtains other
26	<u>counsel.</u>
27	(B) That the person has a right to be assisted
28	in the proceedings by an expert in the field of
29	mental health under subsection (d).
30	(C) That the person may request or be made

1	subject to psychiatric examination under paragraph
2	<u>(5).</u>
3	(5) Upon motion of either the petitioner or the person,
4	or upon its own motion, the court may order the person to be
5	examined by a licensed psychiatrist appointed by the court,
6	subject to the following conditions:
7	(i) The examination shall be conducted on an
8	outpatient basis.
9	(ii) The person shall have the right to have counsel
10	present.
11	(iii) A report of the examination shall be given to
12	the court and counsel at least 48 hours prior to the
13	hearing.
14	(6) Involuntary treatment may not be authorized during
15	the pendency of a petition except in accordance with section
16	<u>3302 or 3303.</u>
17	(d) Professional assistanceA person with respect to whom
18	a hearing has been ordered under this section shall have and be
19	informed of a right to employ a physician, licensed clinical
20	psychologist or other expert in mental health of the person's
21	choice to assist the person in connection with the hearing and
22	testify on the person's behalf. If the person cannot afford to
23	engage such a professional, the court shall, on application,
24	allow a reasonable fee for that purpose. The fee shall be a
25	charge against the mental health and intellectual disability
26	program of the county.
27	(e) Conduct of hearingA hearing on a petition for court-
28	ordered involuntary treatment shall be conducted according to
29	the following:
30	(1) The person shall have the right to counsel and the
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1	assistance of an expert in mental health under subsection
2	<u>(d).</u>
3	(2) The person shall not be called as a witness without
4	the person's consent.
5	(3) The person shall have the right to confront and
6	cross-examine all witnesses and to present evidence on the
7	person's own behalf.
8	(4) The hearing shall be public unless it is requested
9	to be private by the person or the person's counsel.
10	(5) A stenographic or other sufficient record shall be
11	made, which shall be impounded by the court and may be
12	obtained or examined only upon the request of the person or
13	the person's counsel or by order of the court on good cause
14	shown.
15	(6) The hearing shall be conducted by the court or a
16	mental health review officer and may be held at a location
17	other than a courthouse when doing so appears to be in the
18	best interest of the person.
19	(7) A decision shall be rendered within 48 hours after
20	the close of evidence.
21	(f) Standard of proof; treatment alternatives
22	(1) Upon a finding by clear and convincing evidence that
23	the person is severely mentally disabled and in need of
24	treatment and subject to subsection (a), an order shall be
25	entered directing treatment of the person in an approved
26	facility as an inpatient or outpatient, or a combination of
27	such treatment as the director of the facility shall from
28	time to time determine.
29	(2) Inpatient treatment shall be deemed appropriate only
30	after full consideration has been given to less restrictive

1	<u>alternatives. An order for inpatient treatment shall include</u>
2	findings on the investigation of treatment alternatives,
3	which shall include consideration of the person's
4	relationship to community and family, employment
5	possibilities, all available community resources and
6	guardianship services.
7	(g) Duration
8	(1) Except as provided in paragraph (2), a person may be
9	made subject to court-ordered involuntary treatment under
10	this section for a period of not more than 90 days.
11	(2) A person may be made subject to court-ordered
12	involuntary treatment under this section for a period of not
13	more than one year if the person meets both of the following
14	<u>criteria:</u>
15	(i) The finding of severe mental disability is based
16	on acts giving rise to the following offenses:
17	18 Pa.C.S. § 2502 (relating to murder).
18	<u>18 Pa.C.S. § 2503 (relating to voluntary</u>
19	<pre>manslaughter).</pre>
20	18 Pa.C.S. § 2702 (relating to aggravated
21	<u>assault).</u>
22	18 Pa.C.S. § 2901 (relating to kidnapping).
23	18 Pa.C.S. § 3121(a)(1) and (2) (relating to
24	<u>rape).</u>
25	<u>18 Pa.C.S. § 3123(a)(1) and (2) (relating to</u>
26	<u>involuntary deviate sexual intercourse).</u>
27	18 Pa.C.S. § 3301 (relating to arson and related
28	<u>offenses).</u>
29	(ii) A finding of incompetency to proceed on
30	criminal charges or a verdict of acquittal because of

1	lack of criminal responsibility has been entered.
2	(3) Subject to paragraph (4), if at any time the
3	director of a facility concludes that the person is not
4	severely mentally disabled or in need of treatment pursuant
5	to subsection (a), the director shall discharge the person.
6	(4) No person subjected to involuntary treatment
7	pursuant to paragraph (2) may be discharged without a hearing
8	conducted pursuant to subsection (h).
9	(h) HearingIn cases involving involuntary treatment
10	pursuant to subsection (g)(2), the following shall apply:
11	(1) The director shall petition the court which ordered
12	the involuntary treatment for the unconditional or
13	conditional release of the person when either of the
14	following occurs:
15	(i) The period of court-ordered involuntary
16	treatment is about to expire and neither the director nor
17	the county administrator intends to apply for an
18	additional period of court-ordered involuntary treatment
19	pursuant to section 3305.
20	(ii) At any time the director concludes that the
21	person is not severely mentally disabled or in need of
22	treatment.
23	(2) Notice of the petition shall be given to the person,
24	the county administrator and the district attorney of the
25	county where the criminal charges under subsection (g)(2)
26	were filed.
27	(3) Within 15 days after the petition has been filed,
28	the court shall hold a hearing to determine if the person is
29	severely mentally disabled and in need of treatment.
30	(4) Petitions which must be filed simply because the

1	period of involuntary treatment will expire shall be filed at
2	least 10 days prior to the expiration of the court-ordered
3	period of involuntary treatment.
4	(5) If the court determines after the hearing that the
5	person is severely mentally disabled and in need of
6	treatment, it may order additional involuntary treatment not
7	to exceed one year. If the court does not so determine, it
8	shall order the discharge of the person.
9	<u>§ 3305. Additional periods of court-ordered involuntary</u>
10	treatment.
11	(a) ApplicationUpon the application of the county
12	administrator or the director of the facility in which the
13	person is receiving treatment at the expiration of a period of
14	<u>court-ordered involuntary treatment under section 3304(g)</u>
15	(relating to court-ordered involuntary treatment) or this
16	section, the court may order treatment for an additional period.
17	(b) Basis of orderThe order under subsection (a) shall be
18	entered upon hearing on findings as required for persons already
19	in involuntary treatment by section 3304(a) and (b) and the
20	further finding of a need for continuing involuntary treatment
21	as shown by conduct during the person's most recent period of
22	court-ordered treatment.
23	(c) Duration
24	(1) Except as provided in paragraph (2), the additional
25	period of involuntary treatment shall be not more than 180
26	days.
27	(2) Persons meeting the criteria of section 3304(g)(2)
28	may be subject to an additional period of up to one year of
29	involuntary treatment.
30	(d) Less restrictive alternative placementsA person found

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1	dangerous to self under section 3301(c), (d), (e) or (f)
2	(relating to persons who may be subject to involuntary emergency
3	examination and treatment) shall be subject to an additional
4	period of involuntary full-time inpatient treatment only if the
5	person has first been released to a less restrictive
6	alternative. This limitation shall not apply where, upon
7	application made by the county administrator or facility
8	director, it is determined by the court or mental health review
9	officer that such release would not be in the best interests of
10	the person.
11	(e) NoticeThe director of the facility in which the
12	person is receiving treatment shall notify the county
13	administrator at least 10 days prior to the expiration of a
14	period of involuntary commitment ordered under section 3304 or
15	this section.
16	<u>§ 3306. Transfer of persons in involuntary treatment.</u>
17	(a) Transfer permittedSubject to subsections (b) and (c),
18	<u>a person in involuntary treatment pursuant to this part may be</u>
19	transferred to an approved facility.
20	(b) NoticeIn the absence of an emergency, persons
21	committed pursuant to section 3304(g)(2) (relating to court-
22	ordered involuntary treatment) may not be transferred unless
23	written notice is given to the court that committed the person
24	and the district attorney in the committing county and no
25	objection is noted from either the court or the district
26	attorney within 20 days of receipt of the notice. If the court
27	or district attorney objects to the transfer, a hearing shall be
28	held by the court within 20 days to review the commitment order.
29	A decision shall be rendered within 48 hours after the close of
30	evidence.

1	(c) Necessity of transferWhen a transfer will constitute
2	<u>a greater restraint, it shall not take place unless, upon</u>
3	hearing, the court or review officer finds it to be necessary
4	and appropriate.
5	<u>§ 3307. Appeal of mental health review officer findings.</u>
6	In all cases in which a proceeding under section 3303(c)
7	(relating to extended involuntary emergency treatment), 3304
8	(relating to court-ordered involuntary treatment), 3305
9	(relating to additional periods of court-ordered involuntary
10	treatment) or 3306 (relating to transfer of persons in
11	involuntary treatment) was conducted by a mental health review
12	officer, a person made subject to treatment pursuant to those
13	sections shall have the right to petition the court for review
14	of the certification, subject to the following requirements:
15	(1) A hearing shall be held within 72 hours after the
16	petition is filed unless a continuance is requested by the
17	person's counsel.
18	(2) The hearing shall include a review of the
19	certification and any evidence as the court may receive or
20	<u>require.</u>
21	(3) If the court determines that further involuntary
22	treatment is necessary and that the procedures prescribed by
23	this part have been followed, it shall deny the petition.
24	Otherwise, the court shall order the discharge of the person.
25	SUBCHAPTER B
26	ASSISTED OUTPATIENT TREATMENT
27	<u>Sec.</u>
28	3311. Definitions.
29	3312. Program coordinators to be appointed.
30	3313. Duties of county administrators.
0.01	

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1	3314. Directors of assisted outpatient treatment programs.
2	3315. Assisted outpatient treatment program.
3	<u>§ 3311. Definitions.</u>
4	The following words and phrases when used in this subchapter
5	shall have the meanings given to them in this section unless the
6	context clearly indicates otherwise:
7	"Assisted outpatient" or "patient." A person under a court
8	order to receive assisted outpatient treatment.
9	"Assisted outpatient treatment." Any of the following
10	categories of outpatient services which have been ordered by the
11	court pursuant to section 3315 (relating to assisted outpatient
12	<u>treatment program):</u>
13	(1) Case management services or assertive community
14	treatment team services to provide care coordination.
15	(2) Medication.
16	(3) Periodic blood tests or urinalysis to determine
17	compliance with prescribed medications.
18	(4) Individual or group therapy.
19	(5) Day or partial programming activities.
20	(6) Educational and vocational training or activities.
21	(7) Alcohol or substance abuse treatment and counseling
22	and periodic tests for the presence of alcohol or illegal
23	drugs for persons with a history of alcohol or substance
24	abuse.
25	(8) Supervision of living arrangements.
26	(9) Any other services within an individualized
27	treatment plan developed pursuant to section 3126 (relating
28	to individualized treatment plan) prescribed to treat the
29	person's mental illness and to assist the person in living
30	and functioning in the community, or to attempt to prevent a
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1	relapse or deterioration that may reasonably be predicted to
2	result in suicide or the need for hospitalization.
3	"Assisted outpatient treatment program" or "program." A
4	system to do all of the following:
5	(1) Arrange for and coordinate the provision of assisted
6	outpatient treatment.
7	(2) Monitor treatment compliance by assisted
8	outpatients.
9	(3) Evaluate the condition or needs of assisted
10	outpatients.
11	(4) Take appropriate steps to address the needs of
12	assisted outpatients.
13	(5) Ensure compliance with court orders.
14	"Director." The director of a hospital licensed or operated
15	by the department who operates, directs and supervises an
16	assisted outpatient treatment program, or the county
17	administrator who operates, directs and supervises an assisted
18	<u>outpatient treatment program.</u>
19	"Program coordinator." An individual appointed under section
20	3312 (relating to program coordinators to be appointed) who is
21	responsible for the oversight and monitoring of assisted
22	<u>outpatient treatment programs.</u>
23	"Secretary." The Secretary of Human Services of the
24	Commonwealth.
25	"Subject of the petition" or "subject." A person who is
26	alleged in a petition, filed pursuant to the provisions of
27	section 3315 (relating to assisted outpatient treatment
28	program), to meet the criteria for assisted outpatient
29	treatment.
30	§ 3312. Program coordinators to be appointed.
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1	(a) Duty of secretaryThe secretary shall appoint program
2	coordinators of assisted outpatient treatment who shall be
3	responsible for the oversight and monitoring of assisted
4	outpatient treatment programs established pursuant to section
5	3315 (relating to assisted outpatient treatment program). County
6	administrators shall work in conjunction with the program
7	coordinators to coordinate the implementation of assisted
8	outpatient treatment programs.
9	(b) Oversight and monitoring dutiesThe program
10	coordinator shall oversee and monitor the assistant outpatient
11	treatment program to ensure that each of the following occur:
12	(1) Each assisted outpatient receives the treatment
13	provided for in the court order issued pursuant to section
14	<u>3315.</u>
15	(2) Existing services located in the assisted
16	outpatient's community are utilized whenever practicable.
17	(3) A case manager or assertive community treatment team
18	is designated for each assisted outpatient.
19	(4) A mechanism exists for a case manager or assertive
20	community treatment team to regularly report the assisted
21	outpatient's compliance or lack of compliance with treatment
22	to the director of the assisted outpatient treatment program.
23	(5) Assisted outpatient treatment services are delivered
24	in a timely manner.
25	(c) Standards to be developedThe secretary shall develop
26	standards designed to ensure that case managers or assertive
27	community treatment teams have appropriate training and have
28	clinically manageable caseloads designed to provide effective
29	case management or other care coordination services for persons
30	subject to a court order under section 3315.
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1	(d) Corrective action to be takenUpon review or receiving
2	notice that services are not being delivered in a timely manner,
3	the program coordinator shall require the director of the
4	assisted outpatient treatment program to immediately commence
5	corrective action and inform the program coordinator of the
6	corrective action taken. Failure of a director to take
7	corrective action shall be reported by the program coordinator
8	to the secretary as well as to the court which ordered the
9	assisted outpatient treatment.
10	<u>§ 3313. Duties of county administrators.</u>
11	Each county administrator shall be responsible for the filing
12	of petitions for assisted outpatient treatment pursuant to
13	section 3315 (relating to assisted outpatient treatment
14	program), for the receipt and investigation of reports of
15	persons who are alleged to be in need of that treatment and for
16	coordinating the delivery of court-ordered services with program
17	coordinators appointed by the secretary pursuant to section
18	3312(a) (relating to program coordinators to be appointed). In
19	discharge of the duties imposed by section 3315, directors of
20	community services may provide services directly, coordinate
21	services with the offices of the secretary or contract with any
22	public or private provider to provide services for assisted
23	outpatient treatment programs as may be necessary to carry out
24	the duties imposed pursuant to this subchapter.
25	§ 3314. Directors of assisted outpatient treatment programs.
26	(a) General dutiesThe following shall apply:
27	(1) Directors of assisted outpatient treatment programs
28	established pursuant to section 3315 (relating to assisted
29	outpatient treatment program) shall provide a written report
30	to the program coordinators, appointed by the secretary
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1	pursuant to section 3312(a) (relating to program coordinators
2	to be appointed), within three days of the issuance of a
3	court order. The report shall demonstrate that mechanisms are
4	in place to ensure the delivery of services and medications
5	as required by the court order and shall include, but not be
6	limited to, the following:
7	(i) A copy of the court order.
8	(ii) A copy of the written treatment plan.
9	(iii) The identity of the case manager or assertive
10	community treatment team, including the name and contact
11	data of the organization which the case manager or
12	assertive community treatment team member represents.
13	(iv) The identity of providers of services.
14	(v) The date on which services have commenced or
15	will commence.
16	(2) The directors of assisted outpatient treatment
17	programs shall ensure the timely delivery of services
18	described in section 3315 pursuant to any court order issued
19	under that section. Directors of assisted outpatient
20	treatment programs shall immediately commence corrective
21	action upon receiving notice from program coordinators that
22	services are not being provided in a timely manner and the
23	directors shall inform the program coordinator of the
24	corrective action taken.
25	(b) Quarterly reports to program coordinatorsA director
26	of assisted outpatient treatment programs shall submit quarterly
27	reports to the program coordinators regarding the assisted
28	outpatient treatment program operated or administered by the
29	director. The report shall include the following information:
30	(1) The names of individuals served by the program.
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1	(2) The percentage of petitions for assisted outpatient
2	treatment that are granted by the court.
3	(3) Any change in status of assisted outpatients,
4	including, but not limited to, the number of individuals who
5	have failed to comply with court-ordered assisted outpatient
6	treatment.
7	(4) A description of material changes in written
8	treatment plans of assisted outpatients.
9	(5) Any change in case managers.
10	(6) A description of the categories of services which
11	have been ordered by the court.
12	(7) Living arrangements of individuals served by the
13	program, including the number, if any, who are homeless.
14	(8) Any other information as required by the secretary.
15	(9) Any recommendations to improve the program Statewide
16	<u>or locally.</u>
17	<u>§ 3315. Assisted outpatient treatment program.</u>
18	(a) Director to obtain approval from secretaryA director
19	may operate, direct and supervise an assisted outpatient
20	treatment program as provided in this section upon approval by
21	the secretary. The county administrator shall operate, direct
22	and supervise an assisted outpatient treatment program as
23	provided in this section upon approval by the secretary. County
24	administrators shall be permitted to satisfy the provisions of
25	this subchapter through the operation of joint assisted
26	outpatient treatment programs. Nothing in this subchapter shall
27	be construed to preclude the combination or coordination of
28	efforts between and among counties and hospitals in providing
29	and coordinating assisted outpatient treatment.
30	(b) Criteria for assisted outpatient treatmentA patient

1	may be ordered to obtain assisted outpatient treatment if the
2	court finds all of the following:
3	(1) The patient is 18 years of age or older.
4	(2) The patient is suffering from a mental illness.
5	(3) The patient is unlikely to survive safely in the
6	community without supervision, based on a clinical
7	determination.
8	(4) The patient has a history of lack of compliance with
9	treatment for mental illness that has:
10	(i) at least twice within the preceding 36 months,
11	been a significant factor in necessitating
12	hospitalization or receipt of services in a forensic or
13	other mental health unit of a correctional facility, not
14	including any period during which the person was
15	hospitalized or imprisoned immediately preceding the
16	filing of the petition; or
17	(ii) resulted in one or more acts of serious violent
18	behavior toward self or others or threats of, or attempts
19	at, serious physical harm to self or others within the
20	preceding 48 months, not including any period in which
21	the person was hospitalized or imprisoned immediately
22	preceding the filing of the petition.
23	(5) The patient is, as a result of the patient's mental
24	illness, unlikely to voluntarily participate in the
25	recommended treatment pursuant to the treatment plan.
26	(6) In view of the patient's treatment history and
27	current behavior, the patient is in need of assisted
28	outpatient treatment in order to prevent a relapse or
29	deterioration which would be likely to pose a clear and
30	present danger of harm to self or others as determined under

3301 (relating to persons who may be subject to
ary emergency examination and treatment).
It is likely that the patient will benefit from
outpatient treatment.
ition to the courtThe following shall apply:
A petition for an order authorizing assisted
nt treatment may be filed in the court of common
the county in which the subject of the petition is
or reasonably believed to be present. A petition to
n order authorizing assisted outpatient treatment may
ated only by the following persons:
(i) a person 18 years of age or older with whom the
ect of the petition resides;
(ii) the parent, spouse, sibling 18 years of age or
r, or child 18 years of age or older of the subject
he petition;
(iii) the director of the facility in which the
ect of the petition is hospitalized;
(iv) the director of any public or charitable_
nization, agency or home providing mental health
ices to the subject of the petition in whose
itution the subject of the petition resides;
(v) a licensed psychiatrist who is either
rvising the treatment of or treating the subject of
petition for a mental illness;
(vi) the county administrator or his designee; or
(vii) a parole officer or probation officer assigned
upervise the subject of the petition.
The petition shall state:
(i) Each of the criteria for assisted outpatient
-

1	treatment as set forth in subsection (b).
2	(ii) The facts which support the petitioner's belief
3	that the person who is the subject of the petition meets
4	each criterion, provided that the hearing on the petition
5	need not be limited to the stated facts.
6	(iii) That the subject of the petition is present,
7	or is reasonably believed to be present, within the
8	county where the petition is filed.
9	(3) The petition shall be accompanied by an affirmation
10	or affidavit of a physician, who shall not be the petitioner,
11	and shall state either that:
12	(i) The physician has personally examined the person
13	who is the subject of the petition not more than 10 days
14	prior to the submission of the petition, recommends
15	assisted outpatient treatment for the subject of the
16	petition and is willing and able to testify at the
17	hearing on the petition.
18	(ii) Not more than 10 days prior to the filing of
19	the petition, the physician or his designee has made
20	appropriate attempts to elicit the cooperation of the
21	subject of the petition, but has not been successful in
22	persuading the subject to submit to an examination, that
23	the physician has reason to suspect that the subject of
24	the petition meets the criteria for assisted outpatient
25	treatment and that the physician is willing and able to
26	examine the subject of the petition and testify at the
27	hearing on the petition.
28	(d) Right to counselThe subject of the petition shall
29	have the right to be represented by counsel at all stages of a
30	proceeding commenced under this section. The subject of the

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1 petition shall be represented either by counsel of his selection 2 or, if unrepresented and unable to afford counsel as determined by the court, by court-appointed counsel. 3 (e) Hearing. -- The following shall apply: 4 5 (1) Upon receipt by the court of the petition submitted pursuant to subsection (c), the court shall fix the date for 6 7 a hearing at a time not later than three days from the date the petition is received by the court, excluding Saturdays, 8 9 Sundays and holidays. Adjournments shall be permitted only 10 for good cause. In granting adjournments, the court shall 11 consider the need for further examination by a physician or 12 the potential need to provide assisted outpatient treatment 13 expeditiously. 14 (2) The court shall cause the subject of the petition, the petitioner, the physician whose affirmation or affidavit 15 accompanied the petition, the appropriate director and such 16 17 other persons as the court may determine to be advised. The 18 subject of the petition shall have the opportunity to 19 provide, in writing, names and parties to be notified of the 20 hearing which shall be considered by the court. 21 (3) Upon the date for the hearing, or upon such other 22 date to which the proceeding may be adjourned, the court 23 shall hear testimony and, if it be deemed advisable and the subject of the petition is available, examine the subject 24 25 alleged to be in need of assisted outpatient treatment in or 26 out of court. (4) If the subject of the petition does not appear at 27 28 the hearing and appropriate attempts to elicit the attendance 29 of the subject have failed, the court may conduct the hearing in the subject's absence. If the hearing is conducted without 30

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1	the subject of the petition present, the court shall set
2	forth the factual basis for conducting the hearing without
3	the presence of the subject of the petition.
4	(5) The court may not order assisted outpatient
5	treatment unless an examining physician who has personally
6	examined the subject of the petition within the time period
7	commencing 10 days before the filing of the petition
8	testifies in person at the hearing.
9	(6) If the subject of the petition has refused to be
10	examined by a physician, the court may request the subject to
11	consent to an examination by a physician appointed by the
12	court. If the subject of the petition does not consent and
13	the court finds reasonable cause to believe that the
14	allegations in the petition are true, the court may order law
15	enforcement officers or the sheriff's department to take the
16	subject of the petition into custody and transport the
17	subject to a hospital for examination by a physician.
18	Retention of the subject of the petition under the order
19	shall not exceed 24 hours.
20	(7) The examination of the subject of the petition may
21	be performed by the physician whose affirmation or affidavit
22	accompanied the petition, if the physician is privileged by
23	the hospital or otherwise authorized by the hospital to do
24	so. If the examination is performed by another physician of
25	the hospital, the examining physician shall be authorized to
26	consult with the physician whose affirmation or affidavit
27	accompanied the petition regarding the issues of whether the
28	allegations in the petition are true and whether the subject
29	meets the criteria for assisted outpatient treatment.
30	(8) (i) A physician who testifies pursuant to paragraph

1	(5) shall state all of the following:
2	(A) The facts which support the allegation that
3	the subject meets each of the criteria for assisted
4	outpatient treatment.
5	(B) That the treatment is the least restrictive
6	alternative.
7	(C) The recommended assisted outpatient
8	treatment and the rationale for the recommended
9	assisted outpatient treatment.
10	(ii) If the recommended assisted outpatient
11	treatment includes medication, the physician's testimony
12	shall describe the types or classes of medication which
13	should be authorized, describe the beneficial and
14	detrimental physical and mental effects of the medication
15	and recommend whether the medication should be self-
16	administered or administered by authorized personnel.
17	(9) The subject of the petition shall be afforded an
18	opportunity to present evidence, to call witnesses on behalf
19	of the subject and to cross-examine adverse witnesses.
20	(f) Written individualized treatment planThe following
21	shall apply:
22	(1) (i) The court may not order assisted outpatient
23	treatment unless an examining physician appointed by the
24	appropriate director develops and provides to the court a
25	proposed written individualized treatment plan. The
26	written individualized treatment plan shall include case
27	management services or assertive community treatment
28	teams to provide care coordination and all categories of
29	services which the physician recommends that the subject
30	of the petition should receive.

1	(ii) If the written individualized treatment plan
2	includes medication, it shall state whether the
3	medication should be self-administered or administered by
4	authorized personnel and shall specify type and dosage
5	range of medication most likely to provide maximum
6	benefit for the subject.
7	(iii) If the written individualized treatment plan
8	includes alcohol or substance abuse counseling and
9	treatment, the plan may include a provision requiring
10	relevant testing for either alcohol or illegal
11	substances, provided the physician's clinical basis for
12	recommending the plan provides sufficient facts for the
13	court to find:
14	(A) That the person has a history of alcohol or
15	substance abuse that is clinically related to the
16	<u>mental illness.</u>
17	(B) That the testing is necessary to prevent a
18	relapse or deterioration which would be likely to
19	result in serious harm to the person or others.
20	(iv) In developing the plan, the physician shall
21	provide the following persons with an opportunity to
22	actively participate in the development of the plan:
23	(A) the subject of the petition;
24	(B) the treating physician; and
25	(C) upon the request of the patient, an
26	individual significant to the patient including any
27	relative, close friend or individual otherwise
28	concerned with the welfare of the patient.
29	If the petitioner is a director, the plan shall be
30	provided to the court no later than the date of the

1	hearing on the petition.
2	(2) The court shall not order assisted outpatient
3	treatment unless a physician testifies to explain the written
4	proposed treatment plan. The testimony shall state:
5	(i) The categories of assisted outpatient treatment
6	recommended.
7	(ii) The rationale for each category.
8	(iii) Facts which establish that the treatment is
9	the least restrictive alternative.
10	(iv) If the recommended assisted outpatient
11	treatment includes medication, the types or classes of
12	medication recommended, the beneficial and detrimental
13	physical and mental effects of the medication and whether
14	the medication should be self-administered or
15	administered by an authorized professional.
16	If the petitioner is a director, the testimony shall be given
17	at the hearing on the petition.
18	(g) DispositionThe following shall apply:
19	(1) If after hearing all relevant evidence the court
20	finds that the subject of the petition does not meet the
21	criteria for assisted outpatient treatment, the court shall
22	dismiss the petition.
23	(2) If after hearing all relevant evidence the court
24	finds by clear and convincing evidence that the subject of
25	the petition meets the criteria for assisted outpatient
26	treatment and there is no appropriate and feasible less
27	restrictive alternative, the court shall order the subject to
28	receive assisted outpatient treatment for an initial period
29	not to exceed six months. In fashioning the order, the court
30	shall specifically make findings by clear and convincing

1	evidence that the proposed treatment is the least restrictive
2	treatment appropriate and feasible for the subject. The order
3	shall state the categories of assisted outpatient treatment
4	which the subject is to receive. The court may not order
5	treatment that has not been recommended by the examining
6	physician and included in the written treatment plan for
7	assisted outpatient treatment as required by subsection (f).
8	(3) If after hearing all relevant evidence the court
9	finds by clear and convincing evidence that the subject of
10	the petition meets the criteria for assisted outpatient
11	treatment and the court has yet to be provided with a written
12	individualized treatment plan and testimony pursuant to
13	subsection (f), the court shall order the county
14	administrator to provide the court with the plan and
15	testimony no later than the third day, excluding Saturdays,
16	Sundays and holidays, immediately following the date of the
17	order. Upon receiving the plan and testimony, the court may
18	order assisted outpatient treatment as provided in paragraph
19	<u>(2).</u>
20	(4) A court may order the patient to self-administer
21	psychotropic drugs or accept the administration of the drugs
22	by authorized personnel as part of an assisted outpatient
23	treatment program. The order may specify the type and dosage
24	range of psychotropic drugs and shall be effective for the
25	duration of the assisted outpatient treatment.
26	(5) If the petitioner is the director of a hospital that
27	operates an assisted outpatient treatment program, the court
28	order shall direct the hospital director to provide or
29	arrange for all categories of assisted outpatient treatment
30	for the assisted outpatient throughout the period of the
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1	order. For all other persons, the order shall require the
2	director of community services of the appropriate local
3	governmental unit to provide or arrange for all categories of
4	assisted outpatient treatment for the assisted outpatient
5	throughout the period of the order.
6	(6) The director or his designee shall apply to the
7	court for approval before instituting a proposed material
8	change in the assisted outpatient treatment order, unless the
9	change is contemplated in the order. Nonmaterial changes may
10	be instituted by the assisted outpatient treatment program
11	without court approval. For the purposes of this paragraph, a
12	material change shall mean an addition or deletion of a
13	category of assisted outpatient treatment from the order of
14	the court or any deviation without the patient's consent from
15	the terms of an existing order relating to the administration
16	of psychotropic drugs.
17	(h) Applications for additional periods of treatmentIf
18	the director determines that the condition of the patient
19	requires further assisted outpatient treatment, the director
20	shall apply prior to the expiration of the period of assisted
21	outpatient treatment ordered by the court for a second or
22	subsequent order authorizing continued assisted outpatient
23	treatment for a period of not more than one year from the date
24	of the order. The procedures for obtaining any order pursuant to
25	this subsection shall be in accordance with this section,
26	provided that the time period included in subsection (b)(4)(i)
27	and (ii) shall not be applicable in determining the
28	appropriateness of additional periods of assisted outpatient
29	treatment. Any court order requiring periodic blood tests or
30	urinalysis for the presence of alcohol or illegal drugs shall be
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1	subject to review after six months by the physician who
2	developed the written individualized treatment plan or another
3	physician designated by the director and the physician shall be
4	authorized to terminate the blood tests or urinalysis without
5	further action by the court.
6	(i) Application for order to stay, vacate or modifyIn
7	addition to any other right or remedy available by law with
8	respect to the order for assisted outpatient treatment, the
9	patient, the patient's counsel or anyone acting on the patient's
10	behalf may apply, on notice to the appropriate director and the
11	original petitioner, to the court to stay, vacate or modify the
12	<u>order.</u>
13	(j) AppealsReview of an order issued pursuant to this
14	section shall be conducted in the same manner as specified in
15	section 3303 (relating to extended involuntary emergency
16	<pre>treatment).</pre>
17	(k) Failure to comply with the assisted outpatient
18	treatmentThe following shall apply:
19	(1) (i) Where, in the clinical judgment of a physician,
20	the assisted outpatient has failed or refused to comply
21	with the assisted outpatient treatment and efforts were
22	made to solicit compliance and the assisted outpatient
23	<u>may be in need of involuntary admission to a hospital or</u>
24	immediate observation, care and treatment pursuant to
25	section 3302 (relating to involuntary emergency
26	examination and treatment) or 3303, the physician may
27	request the director of community services, the
28	director's designee or any physician designated by the
29	director of community services to take the assisted
30	outpatient to an appropriate hospital for an examination

1	to determine if the assisted outpatient has a mental
2	illness for which hospitalization is necessary.
3	(ii) If the assisted outpatient refuses to take
4	medication as required by the court order or refuses to
5	<u>take or fails a blood test, urinalysis or alcohol or drug</u>
6	test as required by the court order, the physician may
7	consider the refusal or failure when determining whether
8	the assisted outpatient is in need of an examination to
9	determine whether the assisted outpatient has a mental
10	illness for which hospitalization is necessary.
11	(2) Upon the request of the physician, the director or
12	the director's designee may direct law enforcement officers
13	or the sheriff's department to take into custody and
14	transport the patient to the hospital operating the assisted
15	outpatient treatment program or to any hospital authorized by
15 16	the director of community services to receive such patients.
16	the director of community services to receive such patients.
16 17	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director
16 17 18	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the
16 17 18 19	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the
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16 17 18 19 20 21 22	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the hospital operating the assisted outpatient treatment program or to any other hospital authorized by the county administrator to receive such patients in accordance with
16 17 18 19 20 21 22 23	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the hospital operating the assisted outpatient treatment program or to any other hospital authorized by the county administrator to receive such patients in accordance with section 3306 (relating to transfer of persons in
16 17 18 19 20 21 22 23 24	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the hospital operating the assisted outpatient treatment program or to any other hospital authorized by the county administrator to receive such patients in accordance with section 3306 (relating to transfer of persons in involuntary treatment).
16 17 18 19 20 21 22 23 24 25	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the hospital operating the assisted outpatient treatment program or to any other hospital authorized by the county administrator to receive such patients in accordance with section 3306 (relating to transfer of persons in involuntary treatment). (ii) The patient may be retained for observation,
16 17 18 19 20 21 22 23 24 25 26	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the hospital operating the assisted outpatient treatment program or to any other hospital authorized by the county administrator to receive such patients in accordance with section 3306 (relating to transfer of persons in involuntary treatment). (ii) The patient may be retained for observation, care and treatment and further examination in the
16 17 18 19 20 21 22 23 24 25 26 27	the director of community services to receive such patients. (3) (i) Upon the request of the physician, the director or the director's designee, the court may authorize the patient to be taken into custody and transported to the hospital operating the assisted outpatient treatment program or to any other hospital authorized by the county administrator to receive such patients in accordance with section 3306 (relating to transfer of persons in involuntary treatment). (ii) The patient may be retained for observation, care and treatment and further examination in the hospital for up to 72 hours to permit a physician to

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1	(iii) Any continued involuntary retention in the
2	hospital beyond the initial 72-hour period shall be in
3	accordance with this part relating to the involuntary
4	admission and retention of a person.
5	(iv) If at any time during the 72-hour period the
6	person is determined not to meet the involuntary
7	admission and retention provisions of this part and does
8	not agree to stay in the hospital as a voluntary or
9	informal patient, he shall be released.
10	(v) Failure to comply with an order of assisted
11	outpatient treatment shall not be grounds for involuntary
12	civil commitment or a finding of contempt of court.
13	(1) False petitionA person making a false statement or
14	providing false information or false testimony in a petition or
15	hearing under this section is subject to criminal prosecution
16	pursuant to 18 Pa.C.S. § 4903 (relating to false swearing).
17	(m) ConstructionNothing in this section shall be
18	construed to affect the ability of the director of a hospital to
19	receive, admit or retain patients who otherwise meet the
20	provisions of this part regarding receipt, retention or
21	admission.
22	(n) Educational materialsThe department, in consultation
23	with the county administrator, shall prepare educational and
24	training materials on this section, which shall be made
25	available to county providers of services, judges, court
26	personnel, law enforcement officials and the general public.
27	<u>CHAPTER 34</u>
28	DETERMINATIONS AFFECTING THOSE CHARGED
29	WITH CRIME OR UNDER SENTENCE
30	<u>Sec.</u>

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 2 serving sentence. 3 3402. Incompetence to proceed on criminal charges. 4 3403. Incompetency hearing procedures and effect and dism 5 of charges. 6 3404. Determination of criminal responsibility. 7 3405. Examination of person charged with crime in aid of 8 sentencing. 	issal_
 4 <u>3403. Incompetency hearing procedures and effect and dism</u> 5 <u>of charges.</u> 6 <u>3404. Determination of criminal responsibility.</u> 7 <u>3405. Examination of person charged with crime in aid of</u> 8 <u>sentencing.</u> 	issal_
 5 <u>of charges.</u> 6 <u>3404. Determination of criminal responsibility.</u> 7 <u>3405. Examination of person charged with crime in aid of</u> 8 <u>sentencing.</u> 	issal_
 6 <u>3404. Determination of criminal responsibility.</u> 7 <u>3405. Examination of person charged with crime in aid of</u> 8 <u>sentencing.</u> 	
7 <u>3405. Examination of person charged with crime in aid of</u> 8 <u>sentencing.</u>	
8 <u>sentencing.</u>	
9 <u>3406. Application for court-ordered involuntary treatment</u>	·
10 3407. Voluntary treatment of person charged with crime or	_
11 <u>serving sentence.</u>	
12 <u>§ 3401. Examination and treatment of person charged with</u>	<u>crime</u>
13 <u>or serving sentence.</u>	
14 (a) Persons subject to civil provisionsIf a person	<u>who is</u>
15 <u>charged with a crime or serving a sentence is or becomes</u>	
16 mentally disabled, proceedings may be instituted under Cha	<u>pter</u>
17 32 (relating to voluntary inpatient examination and treatm	<u>ent)</u>
18 or 33 (relating to involuntary examination and treatment),	_
19 except that the proceedings shall not affect the condition	<u>s of</u>
20 security required by the person's criminal detention or	
21 <u>incarceration</u> .	
22 (b) Persons in United States Department of Veterans Af	<u>fairs</u>
23 <u>facilitiesProceedings</u> under this section shall not be	
24 initiated for examination and treatment at a United States	_
25 Department of Veterans Affairs facility if either of the	
26 <u>following apply:</u>	
27 (1) The examination and treatment requires the	
28 preparation of competency reports.	
29 (2) The facility is required to maintain custody a	<u>nd_</u>
30 <u>control over the person.</u>	

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1	(c) Transfer for examination and treatmentA person who is
2	detained on criminal charges or incarcerated and made subject to
3	inpatient examination or treatment shall be transferred to a
4	mental health facility for that purpose. Transfer may be made to
5	a United States Department of Veterans Affairs facility if
6	custody or control is not required in addition to examination
7	and treatment. Individuals transferred to a United States
8	Department of Veterans Affairs facility are not subject to
9	return by the agency to the authority entitled to have them in
10	<u>custody.</u>
11	(d) Security provisionsThe following shall apply:
12	(1) During the period of examination and treatment,
13	provisions for the person's security shall continue to be
14	enforced, unless any of the following occurs in the interim:
15	(i) A pretrial release is effected.
16	(ii) The term of imprisonment expires or is
17	terminated.
18	(iii) It is otherwise ordered by the court having
19	jurisdiction over the person's criminal status.
20	(2) In those instances where a person is charged with
21	offenses listed in section 3304(g)(2) (relating to court-
22	ordered involuntary treatment) and the court, after the
23	hearing, deems it desirable, security equivalent to the
24	institution to which the person is incarcerated shall be
25	provided.
26	(e) Effect of dischargeUpon discharge from treatment, a
27	person who is or remains subject to a detainer or sentence shall
28	be returned to the authority entitled to have the person in
29	custody. The period of involuntary treatment shall be credited
30	as time served on account of any sentence to be imposed on
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1	pending charges or an unexpired term of imprisonment.
2	(f) Persons subject to Juvenile ActThe provisions of
3	Chapter 33 which are applicable to children of the person's age
4	shall apply to all proceedings for examination and treatment of
5	a person who is subject to a petition or who has been committed
6	under 42 Pa.C.S. Ch. 63 (relating to juvenile matters). If such
7	a person is in detention or is committed, the court having
8	jurisdiction under 42 Pa.C.S. Ch. 63 shall determine whether the
9	security conditions shall continue to be enforced during any
10	period of involuntary treatment and to whom the person should be
11	released thereafter.
12	§ 3402. Incompetence to proceed on criminal charges.
13	(a) Person incompetent but not severely mentally disabled
14	Notwithstanding the provisions of Chapter 33 (relating to
15	involuntary examination and treatment), a court may order
16	involuntary treatment of a person found incompetent to proceed
17	on criminal charges who is not severely mentally disabled
18	subject to the following:
19	(1) The involuntary treatment shall not exceed 60 days.
20	(2) Involuntary treatment pursuant to this subsection
21	may be ordered only if the court is reasonably certain that
~ ~	
22	the involuntary treatment will provide the defendant with the
22	the involuntary treatment will provide the defendant with the capacity to proceed on criminal charges.
23	capacity to proceed on criminal charges.
23 24	<u>capacity to proceed on criminal charges.</u> (3) The court may order outpatient treatment, partial
23 24 25	<u>capacity to proceed on criminal charges.</u> (3) The court may order outpatient treatment, partial hospitalization or inpatient treatment.
23 24 25 26	<pre>capacity to proceed on criminal charges. (3) The court may order outpatient treatment, partial hospitalization or inpatient treatment. (b) Application for incompetency examinationApplication</pre>
23 24 25 26 27	<pre>capacity to proceed on criminal charges. (3) The court may order outpatient treatment, partial hospitalization or inpatient treatment. (b) Application for incompetency examinationApplication to the court for an order directing an incompetency examination</pre>

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1	(3) Counsel to a person charged with a crime.
2	(4) The warden or other official in charge of the
3	institution or place in which the person is detained.
4	(c) HearingThe following shall apply:
5	(1) The court, either upon an application under
6	subsection (b) or on its own motion, may order an
7	incompetency examination at any stage in the proceedings and
8	may do so without a hearing unless the person charged with a
9	crime or the person's counsel objects to the examination.
10	(2) If the person or the person's counsel objects to the
11	examination, an examination shall be ordered only after
12	determination at a hearing that there is a prima facie
13	question of incompetency.
14	(d) Conduct of examination; reportWhen the court orders
15	an incompetency examination:
16	(1) The examination shall be conducted:
17	(i) as an outpatient examination unless an inpatient
18	examination is, or has been, authorized under another
19	provision of this part; and
20	(ii) by at least one licensed psychiatrist or
21	licensed psychologist and may relate both to competency
22	to proceed on criminal charges and to criminal
23	responsibility for the crime charged.
24	(2) The person shall be entitled to have counsel present
25	and may not be required to answer any questions or to perform
26	tests unless the person has moved for or agreed to the
27	examination. Nothing said or done by the person during the
28	examination may be used as evidence against the person in any
29	criminal proceedings on any issue other than that of the
30	person's mental condition.

1	(3) A report shall be submitted to the court and counsel
2	for the person and shall contain a description of the
3	examination, which shall include all of the following:
4	(i) Diagnosis of the person's mental condition.
5	(ii) An opinion as to the person's capacity to
6	understand the nature and object of the criminal
7	proceedings against the person and to assist in the
8	<u>person's own defense.</u>
9	(iii) When so requested, an opinion as to the
10	person's mental condition in relation to the standards
11	for criminal responsibility as then provided by law if it
12	appears that the facts concerning the person's mental
13	condition may also be relevant to the question of legal
14	responsibility.
15	(iv) When so requested, an opinion as to whether the
16	person had the capacity to have a particular state of
17	mind, where such state of mind is a required element of
18	the criminal charge.
19	(e) ExpertsThe court may allow a licensed psychiatrist or
20	licensed psychologist retained by the person and a licensed
21	psychiatrist or licensed psychologist retained by the
22	Commonwealth to witness and participate in the examination of
23	the person. Whenever a person who is financially unable to
24	retain such expert has a substantial objection to the
25	conclusions reached by the court-appointed licensed psychiatrist
26	or licensed psychologist, the court shall allow reasonable
27	compensation for the employment of a licensed psychiatrist or
28	licensed psychologist of the person's selection, which amount
29	shall be chargeable against the mental health and intellectual
30	disability program of the locality.

1	(f) Time limit on determinationThe determination of the
2	competency of a person who is detained under a criminal charge
3	shall be rendered by the court within 20 days after the receipt
4	of the report of examination unless the hearing was continued at
5	the person's request.
6	§ 3403. Incompetency hearing procedures and effect and
7	dismissal of charges.
8	(a) Standard and burden of proofExcept for an
9	incompetency examination ordered by the court on its own motion
10	as provided for in section 3402(c) (relating to incompetence to
11	proceed on criminal charges), the individual making an
12	application to the court for an order directing an incompetency
13	examination shall have the burden of establishing incompetency
14	to proceed by a preponderance of the evidence. Upon completion
15	of the examination, a determination of incompetency shall be
16	made by the court where incompetency is established by a
17	preponderance of the evidence.
18	(b) Stay of proceedingsA determination of incompetency to
19	proceed on criminal charges shall effect a stay of the
20	prosecution for so long as the incompetency persists, subject to
21	the following exceptions:
22	(1) Any legal objections suitable for determination
23	prior to trial and without the personal participation of the
24	person charged may be raised and decided in the interim.
25	(2) Except in cases of first and second degree murder,
26	in no instance shall the proceedings be stayed for a period
27	in excess of the maximum sentence of confinement that may be
28	imposed for any crime charged or 10 years, whichever is less.
29	(3) In cases of a charge of first or second degree
30	murder, there shall be no limit on the period during which

1	proceedings may be stayed.
2	(c) Right to counselA person who is determined to be
3	incompetent to proceed on criminal charges shall have a
4	continuing right to counsel so long as the criminal charges are
5	pending.
6	(d) Periodic reexaminationFollowing a determination of
7	incompetence to proceed on criminal charges, the person charged
8	shall be reexamined not less than every 90 days by a licensed
9	psychiatrist appointed by the court. A report of reexamination
10	shall be submitted to the court and counsel for the person.
11	(e) Effect on criminal detentionA determination that a
12	person is incompetent to proceed on criminal charges shall
13	affect criminal detention as follows:
14	(1) Incompetency to proceed on criminal charges is not
15	sufficient reason on its own to deny the person pretrial
16	<u>release.</u>
17	(2) The person shall not be detained on the criminal
18	charge longer than the reasonable period of time necessary to
19	determine whether there is a substantial probability that the
20	person will attain competency in the foreseeable future.
21	(3) If the court determines there is no substantial
22	probability that the person will attain competency, it shall
23	discharge the person.
24	(4) A person may continue to be criminally detained so
25	long as such substantial probability exists that the person
26	will attain competency, but in no event longer than the
27	period of time specified in subsection (b).
28	(f) Resumption of proceedings or dismissalWhen the court,
29	on its own motion or upon the application of the attorney for
30	the Commonwealth or counsel for the person, determines that the
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1	person has regained his competency to proceed on criminal
2	charges, the proceedings shall be resumed. If the court is of
3	the opinion that by reason of the passage of time and its effect
4	upon the criminal proceedings it would be unjust to resume the
5	prosecution, the court may dismiss the charge and order the
6	person discharged.
7	(g) Reexamination following dischargeIf the person is
8	discharged pursuant to subsection (e), but the charges remain
9	open pursuant to subsection (b)(2) and (3), the following shall
10	apply:
11	(1) The court discharging the person shall, on its own
12	motion or on the motion of the Commonwealth or on the motion
13	of the defense, order the person to submit to a psychiatric
14	examination every 12 months after the discharge of the
15	person, to determine whether the person has become competent
16	to proceed to trial.
17	(2) If the examination under paragraph (1) reveals that
18	the person has regained competency to proceed, a hearing
19	shall be scheduled, after which the court shall determine
20	whether the person is competent to proceed on criminal
21	<u>charges.</u>
22	(3) If the person is adjudged competent, then trial
23	shall commence within 90 days of the adjudication.
24	(4) If the examination under paragraph (1) reveals that
25	the person is incompetent to proceed, the court shall order
26	the person to submit to a new competency examination in 12
27	months.
28	<u>§ 3404. Determination of criminal responsibility.</u>
29	(a) Criminal responsibility determination by courtAt a
30	hearing under section 3403 (relating to incompetency hearing
0.0.1	

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1	procedures and effect and dismissal of charges) the court may
2	also hear evidence on whether the person was criminally
3	responsible for the commission of the crime charged, in
4	accordance with the rules governing the consideration and
5	determination of the same issue at criminal trial. If the person
6	is found to have lacked criminal responsibility, an acquittal
7	shall be entered. If the person is not so acquitted, the person
8	may raise the defense at such time as the person may be tried.
9	(b) Opinion evidence on mental conditionAt a hearing
10	under section 3403 or upon trial, a licensed psychiatrist or
11	licensed psychologist appointed by the court may be called as a
12	witness by the attorney for the Commonwealth or by the
13	defense. Each party may also summon any other licensed
14	psychiatrist or licensed psychologist or other expert to
15	<u>testify.</u>
16	(c) Bifurcation of issues or trialUpon trial and in the
17	interest of justice, the court may direct that the issue of
18	criminal responsibility be heard and determined separately from
19	the other issues in the case and, in a trial by jury, that the
20	issue of criminal responsibility be submitted to a separate
21	jury. Upon a request for bifurcation, the court shall consider
22	the substantiality of the defense of lack of responsibility, its
23	effect upon other defenses and the probability of a fair trial.
24	§ 3405. Examination of person charged with crime in aid of
25	sentencing.
26	(a) Examination before sentencingIf a person who has been
27	criminally charged is to be sentenced, the court may defer
28	sentence and order the person to be examined for mental illness
29	to aid in the determination of disposition.
30	(b) Application for examinationThe action under
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1	subsection (a) may be taken on the court's initiative or on the
2	application of the attorney for the Commonwealth, the person
3	charged, the person's counsel or any other person acting in the
4	person's interest.
5	(c) Type of examinationIf at the time of sentencing the
6	person is not in detention, examination shall be on an
7	outpatient basis unless inpatient examination for this purpose
8	is ordered pursuant to Chapter 33 (relating to involuntary
9	examination and treatment).
10	§ 3406. Application for court-ordered involuntary treatment.
11	The attorney for the Commonwealth, the defendant, the
12	defendant's counsel, the county administrator or any other
13	interested party may petition the same court for an order
14	directing involuntary treatment under section 3304 (relating to
15	court-ordered involuntary treatment) after the occurrence of any
16	<u>of the following:</u>
17	(1) A finding of incompetency to proceed on criminal
18	<u>charges under section 3403 (relating to incompetency hearing</u>
19	procedures and effect and dismissal of charges).
20	(2) An acquittal by reason of lack of criminal
21	responsibility under section 3404 (relating to determination
22	<u>of criminal responsibility).</u>
23	(3) An examination in aid of sentencing under section
24	3405 (relating to examination of person charged with crime
25	<u>in aid of sentencing).</u>
26	<u>§ 3407. Voluntary treatment of person charged with crime or</u>
27	serving sentence.
28	(a) Certification of needWhether in lieu of bail or
29	serving a sentence, a person in criminal detention who believes
30	that the person is in need of treatment and substantially

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1	<u>understands the nature of voluntary treatment may submit to</u>
2	examination and treatment under this part, subject to the
3	following certification requirements:
4	(1) At least one physician shall certify the necessity
5	of treatment and that treatment cannot be adequately provided
6	at the prison or correctional facility where the person then
7	is detained.
8	(2) The physician's certificate shall set forth the
9	specific grounds that make transfer to a mental health
10	facility necessary.
11	(3) The correctional facility shall secure a written
12	acceptance of the person for inpatient treatment from the
13	mental health facility and shall forward the acceptance to
14	the court.
15	(b) Independent examinationBefore any inmate of a prison
16	or correctional facility may be transferred to a mental health
17	facility for the purpose of examination and treatment, the
18	correctional facility shall notify the district attorney, who
19	shall be given up to 14 days after receipt of notification to
20	conduct an independent examination of the defendant.
21	(c) Court review and approvalThe court shall review the
22	certification of the physician that the transfer is necessary
23	and the recommendation of the physician for the Commonwealth and
24	either approve or disapprove the transfer, subject to subsection
25	(d) and the following conditions:
26	(1) The court may request any other information it needs
27	concerning the necessity of the transfer.
28	(2) Where possible, the sentencing judge shall preside.
29	(d) HearingUpon the motion of the district attorney, a
30	hearing shall be held on the question of the voluntary treatment

<u>of a person charged with a crime or serving a sentence.</u>
(e) ReportsA report of the person's mental condition
shall be made by the mental health facility to the court within
30 days of the person's transfer to the facility. The report
shall also set forth the specific grounds which require
continued treatment at a mental health facility. After the
initial report, the facility shall thereafter report to the
<u>court every 180 days.</u>
(f) Withdrawal from treatmentIf, at any time, the person
gives notice of intent to withdraw from treatment at the mental
health facility, the person shall be returned to the authority
entitled to have the person in custody, or proceedings may be
initiated under section 3304 (relating to court-ordered
involuntary treatment). During the pendency of any petition
filed under section 3304 concerning a person in treatment under
this section, the mental health facility shall have authority to
detain the person regardless of the provisions of section 3203
(relating to explanation and consent), provided that the hearing
under section 3304 is conducted within seven days of the time
the person gives notice of his intent to withdraw from
treatment.
(g) Time servedThe period of voluntary treatment under_
this section shall be credited as time served on account of any
sentence to be imposed on pending charges or an unexpired term
<u>of imprisonment.</u>
Section 2. Sections 6105(c)(4), 6109(i.1) and 6111.1(f), (g)
and (k) of Title 18 are amended to read:
§ 6105. Persons not to possess, use, manufacture, control, sell
or transfer firearms.
* * *

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1 (c) Other persons.--In addition to any person who has been 2 convicted of any offense listed under subsection (b), the 3 following persons shall be subject to the prohibition of 4 subsection (a):

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6

(4) A person who has been adjudicated as an incompetent

* * *

7 or who has been involuntarily committed to a mental 8 institution for inpatient care and treatment under [section 9 302, 303 or 304 of the provisions of the act of July 9, 1976 10 (P.L.817, No.143), known as the Mental Health Procedures Act.] 50 Pa.C.S. § 3302 (relating to involuntary emergency 11 examination and treatment), 3303 (relating to extended 12 involuntary emergency treatment), or 3304 (relating to court-13 14 ordered involuntary treatment). This paragraph shall not 15 apply to any proceeding under [section 302 of the Mental Health Procedures Act] 50 Pa.C.S. § 3302 unless the examining 16 17 physician has issued a certification that inpatient care was 18 necessary or that the person was committable.

19 * * *

20 § 6109. Licenses.

21 * * *

22 (i.1) Notice to sheriff.--Notwithstanding any statute to the 23 contrary:

(1) Upon conviction of a person for a crime specified in
section 6105(a) or (b) or upon conviction of a person for a
crime punishable by imprisonment exceeding one year or upon a
determination that the conduct of a person meets the criteria
specified in section 6105(c)(1), (2), (3), (5), (6) or (9),
the court shall determine if the defendant has a license to
carry firearms issued pursuant to this section. If the

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defendant has such a license, the court shall notify the sheriff of the county in which that person resides, on a form developed by the Pennsylvania State Police, of the identity of the person and the nature of the crime or conduct which resulted in the notification. The notification shall be transmitted by the judge within seven days of the conviction or determination.

8 (2)Upon adjudication that a person is incompetent or 9 upon the involuntary commitment of a person to a mental 10 institution for inpatient care and treatment under [the act of July 9, 1976 (P.L.817, No.143), known as the Mental Health 11 Procedures Act] 50 Pa.C.S. Pt. III (relating to mental health 12 13 procedures), or upon involuntary treatment of a person as 14 described under section 6105(c)(4), the judge of the court of 15 common pleas, mental health review officer or county mental health and mental retardation administrator shall notify the 16 17 sheriff of the county in which that person resides, on a form 18 developed by the Pennsylvania State Police, of the identity 19 of the person who has been adjudicated, committed or treated 20 and the nature of the adjudication, commitment or treatment. 21 The notification shall be transmitted by the judge, mental 22 health review officer or county mental health and mental 23 retardation administrator within seven days of the 24 adjudication, commitment or treatment.

25 * * *

26 § 6111.1. Pennsylvania State Police.

27 * * *

(f) Notification of mental health adjudication, treatment, commitment, drug use or addiction.--

30 (1) Notwithstanding any statute to the contrary, judges 20150SB0750PN1063 - 74 - of the courts of common pleas shall notify the Pennsylvania
 State Police, on a form developed by the Pennsylvania State
 Police, of:

the identity of any individual who has been 4 (i) 5 adjudicated as an incompetent or as a mental defective or who has been involuntarily committed to a mental 6 7 institution under the [act of July 9, 1976 (P.L.817, 8 No.143), known as the Mental Health Procedures Act] 50 9 Pa.C.S. Pt. III (relating to mental health procedures), 10 or who has been involuntarily treated as described in 11 section 6105(c)(4) (relating to persons not to possess, 12 use, manufacture, control, sell or transfer firearms) or as described in 18 U.S.C. § 922(q)(4) (relating to 13 14 unlawful acts) and its implementing Federal regulations; 15 and

16 (ii) any finding of fact or court order related to
17 any person described in 18 U.S.C. § 922(g)(3).

18 (2) The notification shall be transmitted by the judge
19 to the Pennsylvania State Police within seven days of the
20 adjudication, commitment or treatment.

(3) Notwithstanding any law to the contrary, the
Pennsylvania State Police may disclose, electronically or
otherwise, to the United States Attorney General or a
designee, any record relevant to a determination of whether a
person is disqualified from possessing or receiving a firearm
under 18 U.S.C. § 922 (g) (3) or (4) or an applicable state
statute.

28 (g) Review by court.--

29 (1) Upon receipt of a copy of the order of a court of30 competent jurisdiction which vacates a final order or an

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involuntary certification issued by a mental health review officer, the Pennsylvania State Police shall expunge all records of the involuntary treatment received under subsection (f).

5 A person who is involuntarily committed pursuant to (2) [section 302 of the Mental Health Procedures Act] 50 Pa.C.S. 6 7 § 3302 (relating to involuntary emergency examination and 8 treatment) may petition the court to review the sufficiency 9 of the evidence upon which the commitment was based. If the court determines that the evidence upon which the involuntary 10 commitment was based was insufficient, the court shall order 11 12 that the record of the commitment submitted to the 13 Pennsylvania State Police be expunded. A petition filed under 14 this subsection shall toll the 60-day period set forth under section 6105(a)(2). 15

16 The Pennsylvania State Police shall expunge all (3) 17 records of an involuntary commitment of an individual who is 18 discharged from a mental health facility based upon the 19 initial review by the physician occurring within two hours of 20 arrival under [section 302(b) of the Mental Health Procedures 21 Act] 50 Pa.C.S. § 3302(b) and the physician's determination 22 that no severe mental disability existed pursuant to [section 23 302(b) of the Mental Health Procedures Act] 50 Pa.C.S. § 24 <u>3302(b)</u>. The physician shall provide signed confirmation of 25 the determination of the lack of severe mental disability 26 following the initial examination under [section 302(b) of 27 the Mental Health Procedures Act] 50 Pa.C.S. § 3302(b) to the 28 Pennsylvania State Police.

29 * * *

30 (k) Definitions.--As used in this section, the following 20150SB0750PN1063 - 76 - 1 words and phrases shall have the meanings given to them in this
2 subsection:

3 "Firearm." The term shall have the same meaning as in 4 section 6111.2 (relating to firearm sales surcharge).

Physician." Any licensed psychiatrist, or clinical
psychologist as defined in [the act of July 9, 1976 (P.L.817,
No.143), known as the Mental Health Procedures Act] <u>50 Pa.C.S.</u>
<u>Pt. III (relating to mental health procedures)</u>.

9 Section 3. Sections 5463(f), 5822(a), 5825(b), 5826(b),
10 5832(a), 5839(b) and 5843(a) and (b) of Title 20 are amended to
11 read:

12 § 5463. Effect on other State law.

13 * * *

(f) Disclosure.--The disclosure requirements of section 5456(d) (relating to authority of health care agent) supersede any provision in any other State statute or regulation that requires the principal to consent to disclosure or which otherwise conflicts with section 5456(d), including, but not limited to, the following:

20 (1) Section 8 of the act of April 14, 1972 (P.L.221,
21 No.63), known as the Pennsylvania Drug and Alcohol Abuse
22 Control Act.

(2) [Section 111 of the act of July 9, 1976 (P.L.817,
No.143), known as the Mental Health Procedures Act.] <u>50</u>
Pa.C.S. <u>\$</u> 3113 (relating to confidentiality of records) and
<u>3116(c)</u> (relating to reporting requirements for firearms
<u>background checks</u>).

(3) Section 15 of the act of October 5, 1978 (P.L.1109,
No.261), known as the Osteopathic Medical Practice Act.
(4) Section 41 of the act of December 20, 1985 (P.L.457,

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1 No.112), known as the Medical Practice Act of 1985.

2 (5) Section 7 of the act of November 29, 1990 (P.L.585,
3 No.148), known as the Confidentiality of HIV-Related
4 Information Act.

5 § 5822. Execution.

Who may make.--An individual who is at least 18 years of 6 (a) 7 age or an emancipated minor and has not been deemed 8 incapacitated pursuant to section 5511 (relating to petition and hearing; independent evaluation) or severely mentally disabled 9 10 pursuant to [Article III of the act of July 9, 1976 (P.L.817, No.143), known as the Mental Health Procedures Act] 50 Pa.C.S. 11 12 Ch. 33 (relating to involuntary examination and treatment), may 13 make a declaration governing the initiation, continuation, 14 withholding or withdrawal of mental health treatment.

15 * * *

16 § 5825. Revocation.

17 * * *

18 (b) Capacity to revoke. -- Subsection (a) notwithstanding, 19 during a period of involuntary commitment pursuant to [Article III of the act of July 9, 1976 (P.L.817, No.143), known as the 20 Mental Health Procedures Act] 50 Pa.C.S. Ch. 33 (relating to 21 22 involuntary examination and treatment), a declarant may revoke 23 the declaration only if found to be capable of making mental 24 health decisions after examination by a psychiatrist and one of 25 the following: another psychiatrist, a psychologist, a family 26 physician, an attending physician or a mental health treatment professional. Whenever possible, at least one of the decision 27 28 makers shall be a treating professional of the declarant or 29 principal.

30 * * *

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1 § 5826. Amendment.

2 * * *

3 (b) Determination of capacity. -- During the period of involuntary treatment pursuant to [Article III of the act of 4 July 9, 1976 (P.L.817, No.143), known as the Mental Health 5 Procedures Act] 50 Pa.C.S. Ch. 33 (relating to involuntary_ 6 7 examination and treatment), a declarant may amend the 8 declaration if the individual is found to be capable of making mental health decisions after examination by a psychiatrist and 9 10 one of the following: another psychiatrist, a psychologist, family physician, attending physician or mental health treatment 11 professional. Whenever possible, at least one of the decision 12 13 makers shall be a treating professional of the declarant or principal. 14

15 § 5832. Execution.

16 Who may make. -- An individual who is at least 18 years of (a) age or an emancipated minor and who has not been deemed 17 18 incapacitated pursuant to section 5511 (relating to petition and 19 hearing; independent evaluation) or found to be severely 20 mentally disabled pursuant to [Article III of the act of July 9, 1976 (P.L.817, No.143), known as the Mental Health Procedures 21 Act] 50 Pa.C.S. Ch. 33 (relating to involuntary examination and 22 23 treatment), may make a mental health power of attorney governing 24 the initiation, continuation, withholding or withdrawal of 25 mental health treatment.

26 * * *

27 § 5839. Revocation.

28 * * *

(b) Capacity to revoke.--Notwithstanding subsection (a),during a period of involuntary commitment pursuant to [Article

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III of the act of July 9, 1976 (P.L.817, No.143), known as the 1 Mental Health Procedures Act] 50 Pa.C.S. Ch. 33 (relating to 2 3 involuntary examination and treatment), a principal may revoke the mental health power of attorney only if found to be capable 4 of making mental health decisions after examination by a 5 6 psychiatrist and one of the following: another psychiatrist, a 7 psychologist, a family physician, an attending physician or a 8 mental health treatment professional. Whenever possible, at least one of the decision makers shall be a treating 9 10 professional of the declarant or principal.

11 * * *

12 § 5843. Construction.

13 (a) General rule.--Nothing in this subchapter shall be 14 construed to:

(1) Affect the requirements of other laws of this
Commonwealth regarding consent to observation, diagnosis,
treatment or hospitalization for a mental illness.

18 (2) Authorize a mental health care agent to consent to
19 any mental health care prohibited by the laws of this
20 Commonwealth.

(3) Affect the laws of this Commonwealth regarding anyof the following:

(i) The standard of care of a mental health care
provider required in the administration of mental health
care or the clinical decision-making authority of the
mental health care provider.

27 (ii) When consent is required for mental health28 care.

29 (iii) Informed consent for mental health care.
30 (4) Affect the ability to admit a person to a mental

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1 health facility under the voluntary and involuntary 2 commitment provisions of [the act of July 9, 1976 (P.L.817, 3 No.143), known as the Mental Health Procedures Act] 50 Pa.C.S. Pt. III (relating to mental health procedures). 4 5 (b) Disclosure.--The disclosure requirements of section 5836(e) 6 (1)7 (relating to authority of mental health care agent) shall 8 supersede any provision in any other State statute or 9 regulation that requires a principal to consent to disclosure 10 or which otherwise conflicts with section 5836(e), including, 11 but not limited to, the following: 12 The act of April 14, 1972 (P.L.221, No.63), (i) 13 known as the Pennsylvania Drug and Alcohol Abuse Control 14 Act. 15 [Section 111 of the act of July 9, 1976 (ii) 16 (P.L.817, No.143), known as the Mental Health Procedures 17 Act.] 50 Pa.C.S. §§ 3113 (relating to confidentiality of_ 18 records) and 3116(c) (relating to reporting requirements 19 for firearms background checks). 20 The act of October 5, 1978 (P.L.1109, No.261), (iii) 21 known as the Osteopathic Medical Practice Act. 22 Section 41 of the act of December 20, 1985 (iv) 23 (P.L.457, No.112), known as the Medical Practice Act of 24 1985. 25 The act of November 29, 1990 (P.L.585, No.148), (V) 26 known as the Confidentiality of HIV-Related Information 27 Act. 28 (2) The disclosure requirements under section 5836(e) 29 shall not apply to the extent that the disclosure would be prohibited by Federal law and implementing regulations. 30 20150SB0750PN1063 - 81 -

1 * * *

Section 4. Section 5336(b) of Title 23 is amended to read:
 \$ 5336. Access to records and information.

4 * * *

5 (b) Nondisclosure of confidential information.--The court 6 shall not order the disclosure of any of the following 7 information to any parent or party granted custody:

8

(1) The address of a victim of abuse.

9 (2) Confidential information from an abuse counselor or10 shelter.

(3) Information protected under Chapter 67 (relating to
 domestic and sexual violence victim address confidentiality).

(4) Information independently protected from disclosure
by the child's right to confidentiality under [the act of
July 9, 1976 (P.L.817, No.143), known as the Mental Health
Procedures Act] <u>50 Pa.C.S. Pt. III (relating to mental health</u>
procedures), or any other statute.

18 * * *

19 Section 5. Sections 6356 and 9727 of Title 42 are amended to 20 read:

21 § 6356. Disposition of mentally ill or mentally retarded child. 22 If, at a dispositional hearing of a child found to be a 23 delinquent or at any hearing, the evidence indicates that the 24 child may be subject to commitment or detention under the 25 provisions of the act of October 20, 1966 (3rd Sp.Sess., P.L.96, 26 No.6), known as the ["]Mental Health and [Mental Retardation] Intellectual Disability Act of 1966, ["] or [the act of July 9, 27 1976 (P.L.817, No.143), known as the "Mental Health Procedures 28 Act,"] 50 Pa.<u>C.S. Pt. III (relating to mental health</u> 29 procedures), the court shall proceed under the provisions of the 30

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1 appropriate statute.

2 § 9727. Disposition of persons found quilty but mentally ill. 3 (a) Imposition of sentence. -- A defendant found quilty but mentally ill or whose plea of quilty but mentally ill is 4 accepted under the provisions of 18 Pa.C.S. § 314 (relating to 5 guilty but mentally ill) may have any sentence imposed on him 6 7 which may lawfully be imposed on any defendant convicted of the 8 same offense. Before imposing sentence, the court shall hear testimony and make a finding on the issue of whether the 9 defendant at the time of sentencing is severely mentally 10 11 disabled and in need of treatment pursuant to the provisions of 12 [the act of July 9, 1976 (P.L.817, No.143), known as the "Mental Health Procedures Act."] <u>50 Pa.C.S. Pt. III (relating to me</u>ntal 13 14 health procedures).

15 (b) Treatment.--

16 An offender who is severely mentally disabled and in (1)17 need of treatment at the time of sentencing shall, consistent 18 with available resources, be provided such treatment as is 19 psychiatrically or psychologically indicated for his mental 20 illness. Treatment may be provided by the Bureau of 21 Correction, by the county or by the Department of [Public 22 Welfare] Human Services in accordance with the ["Mental Health Procedures Act."] <u>50 Pa.C.S. Pt. III.</u> 23

(2) The cost for treatment of offenders found guilty but
mentally ill, committed to the custody of the Bureau of
Correction and transferred to a mental health facility, shall
be borne by the Commonwealth.

28 * * *

Section 6. Section 1101(a) of Title 61 is amended to read:30 § 1101. Benefits to injured employees of State correctional

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1

institutions.

2 General rule. -- An employee of a State correctional (a) 3 institution who is injured during the course of that employment by an act of an inmate or by any person who has been committed 4 to the State correctional institution by any court of the 5 Commonwealth or by any provision of [the act of July 9, 1976 6 7 (P.L.817, No.143), known as the Mental Health Procedures Act] 50 8 Pa.C.S. Pt. III (relating to mental health procedures), shall be paid by the Commonwealth the employee's full salary until the 9 10 disability arising from the injury no longer prevents the 11 employee's return as an employee of the department at a salary 12 equal to that earned by the employee at the time of the injury. * * * 13

14 Section 7. The addition of 50 Pa.C.S. Pt. III is a 15 continuation of the act of July 9, 1976 (P.L.817, No.143), known 16 as the Mental Health Procedures Act. The following apply:

17 Except as otherwise provided in 50 Pa.C.S. Pt. III, (1)18 all activities initiated under the Mental Health Procedures Act shall continue and remain in full force and effect and 19 20 may be completed under 50 Pa.C.S. Pt. III. Resolutions, 21 orders, regulations, rules and decisions which were made 22 under the Mental Health Procedures Act and which are in 23 effect on the effective date of this section shall remain in 24 full force and effect until revoked, vacated or modified 25 under 50 Pa.C.S. Pt. III. Contracts, obligations and 26 agreements entered into under the Mental Health Procedures 27 Act are not affected nor impaired by the repeal of the Mental 28 Health Procedures Act.

29 (2) A reference in any other act or regulation to the
30 Mental Health Procedures Act shall be deemed to be a

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1 reference to 50 Pa.C.S. Pt. III (relating to mental health 2 procedures). Section 8. Repeals are as follows: 3 The General Assembly finds that the repeal under 4 (1) paragraph (2) is necessary to effectuate this act. 5 The act of July 9, 1976 (P.L.817, No.143), known as 6 (2) the Mental Health Procedures Act, is repealed. 7 Section 9. This act shall take effect in 60 days. 8